

# Analytical TEM part II

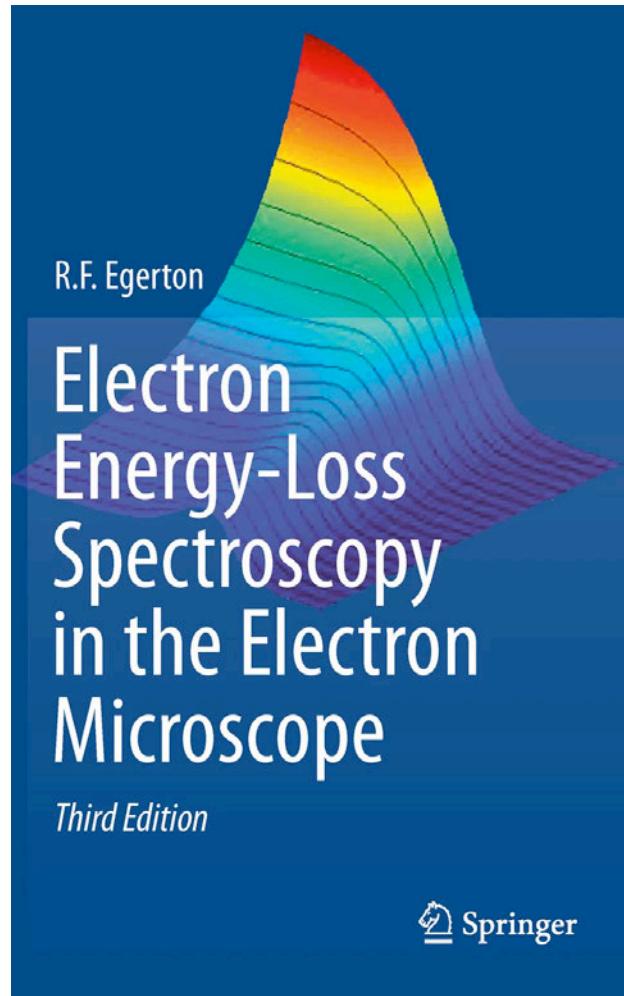
**Duncan Alexander**  
EPFL-IPHYS-LSME

# EPFL *The reference book on EELS*

- Electron Energy-Loss Spectroscopy in the Electron Microscope

Ray F. Egerton

<https://link.springer.com/book/10.1007/978-1-4419-9583-4>



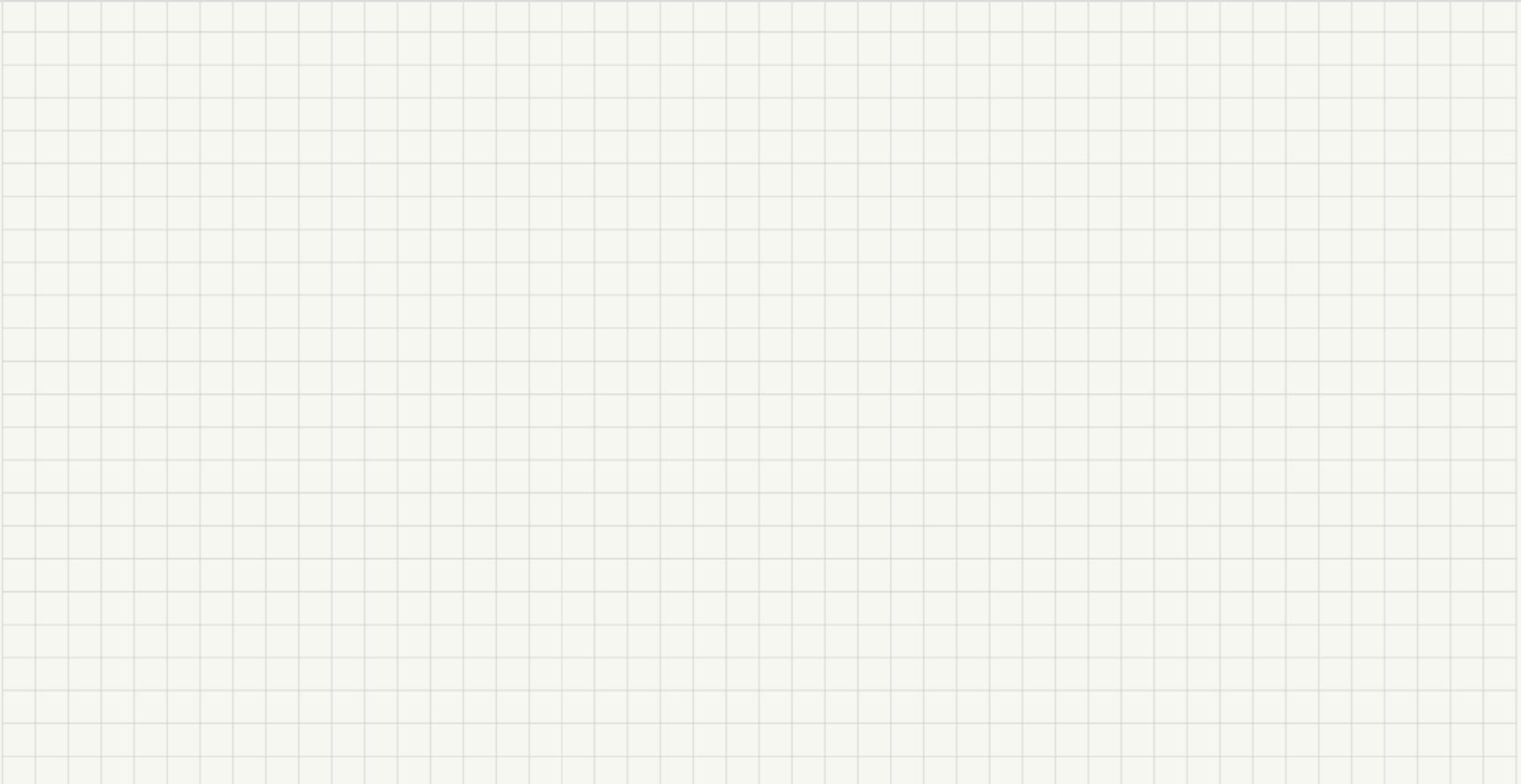
# EPFL Contents

- Features of the EEL spectrum
- EELS instrumentation
- Energy-filtered TEM (EFTEM)
- Inelastic scattering geometry
- Low-loss EELS
- Thickness measurements
- Ionisation edge EELS
- Elemental quantification
- ELNES / “fine structure”

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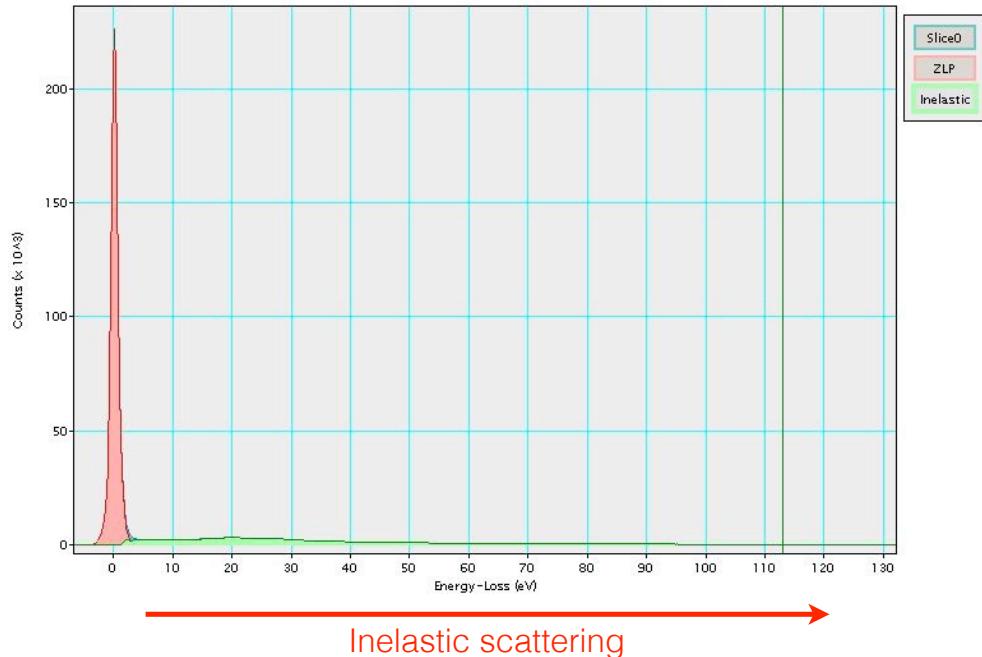
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# EPFL Features of the EEL spectrum



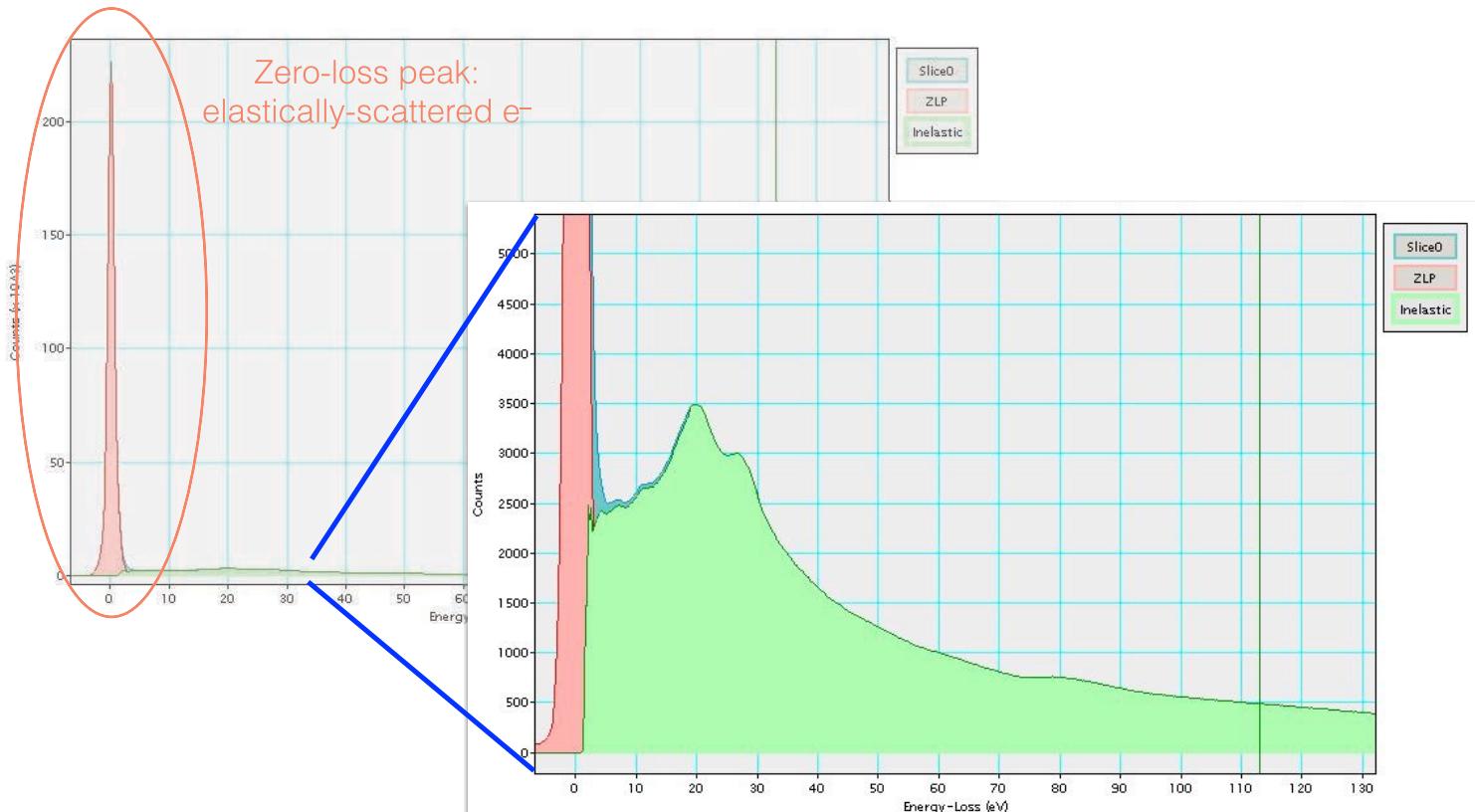
# EPFL Features of the EEL spectrum

- Energy loss  $> 0 \Rightarrow$  *Inelastic scattering*



# EPFL Features of the EEL spectrum

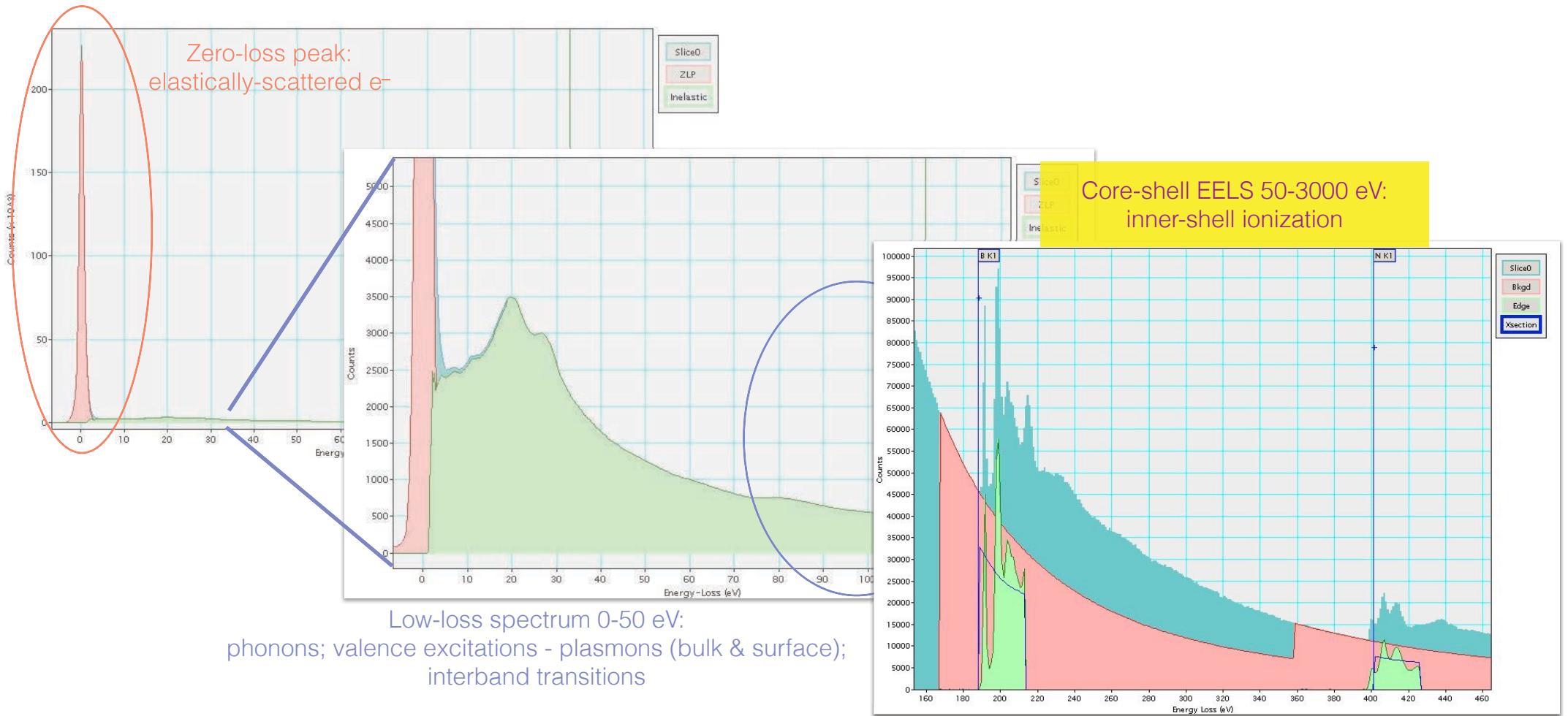
- Energy loss  $> 0 \Rightarrow$  *Inelastic scattering*



Low-loss spectrum 0-50 eV:  
phonons; valence excitations - plasmons (bulk & surface);  
interband transitions

# EPFL Features of the EEL spectrum

- Energy loss  $> 0 \Rightarrow$  *Inelastic scattering*



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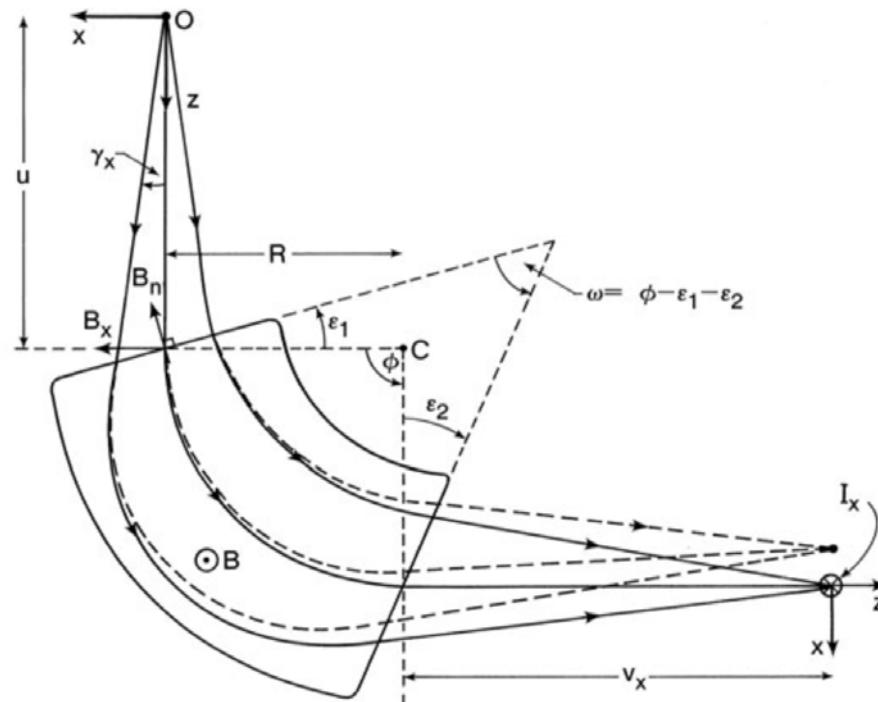
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# EPFL Electron energy-loss spectrometer

- Typical EEL spectrometer: magnetic prism

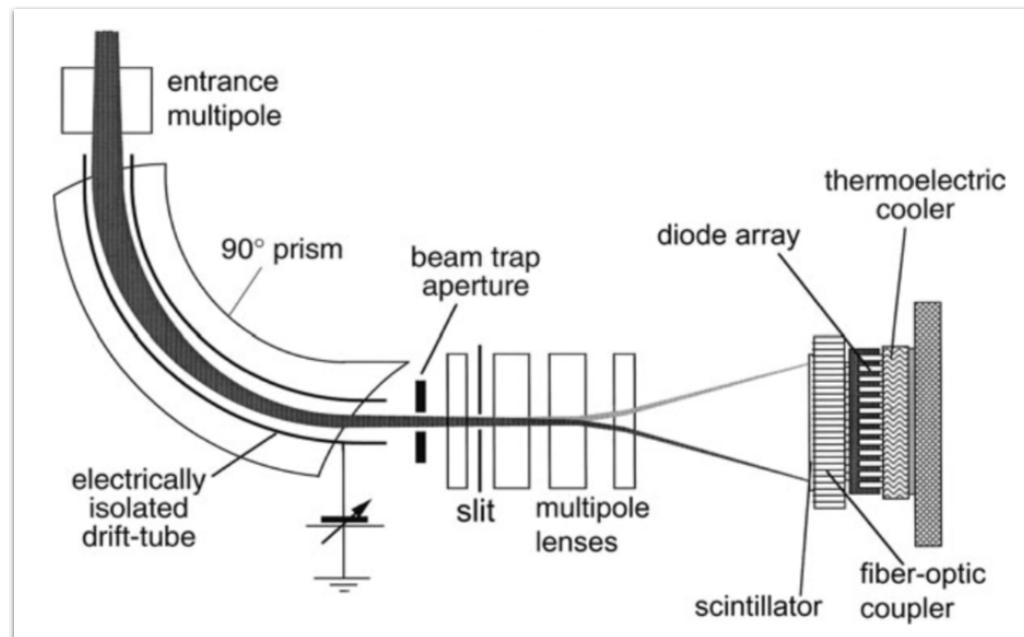
$$R = (\gamma m_0 / eB)v$$

$$\gamma = 1/(1 - v^2/c^2)^{1/2}$$



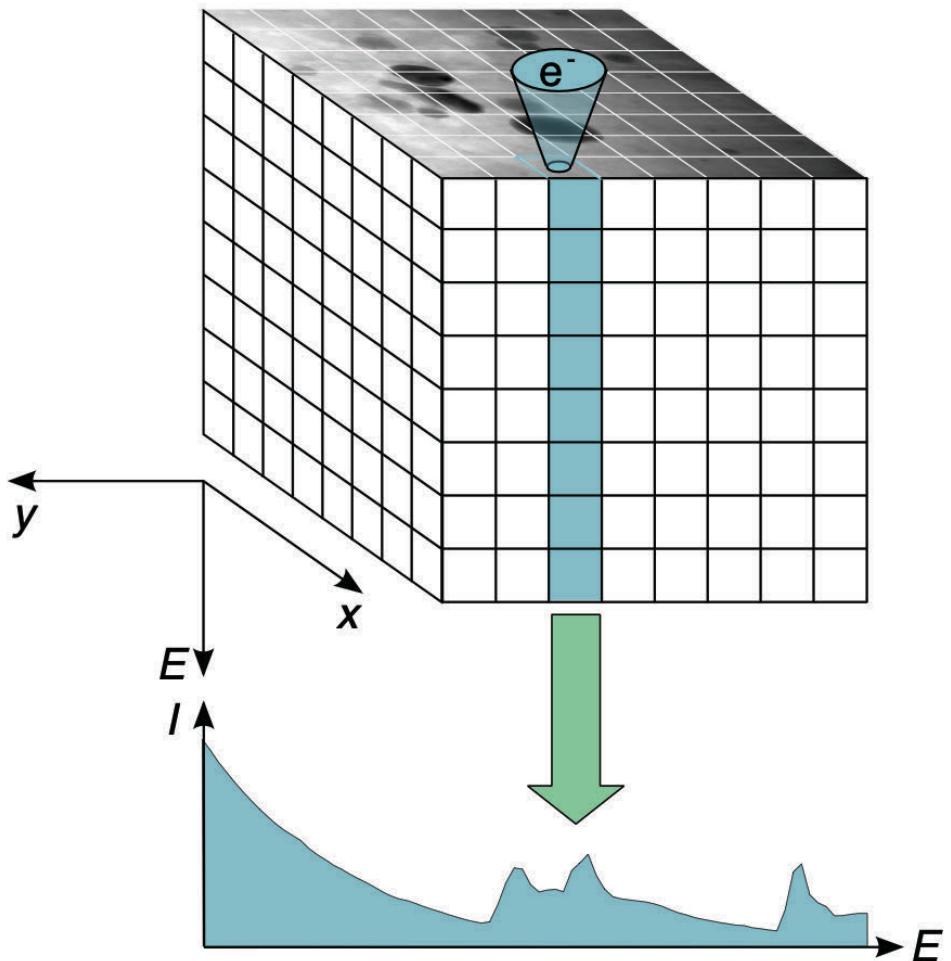
# EPFL Post-column spectrometer

- Post-column spectrometer most common type used for materials science/physics
- For instance: Gatan EEL spectrometer



# EPFL STEM-EELS spectrum imaging

- During STEM imaging, collect the *forward-scattered* electrons in the spectrometer entrance aperture
- Record 3D data cube with a spectrum for each probe position ( $x, y$ )

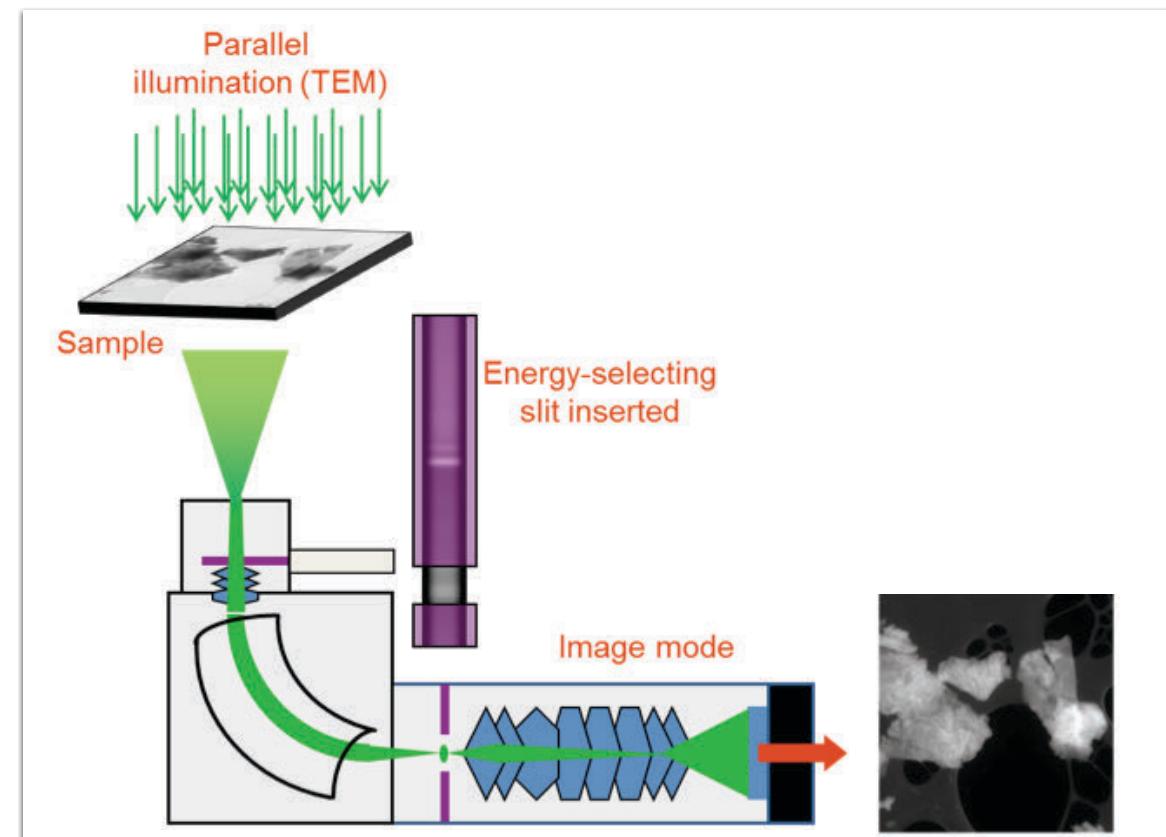


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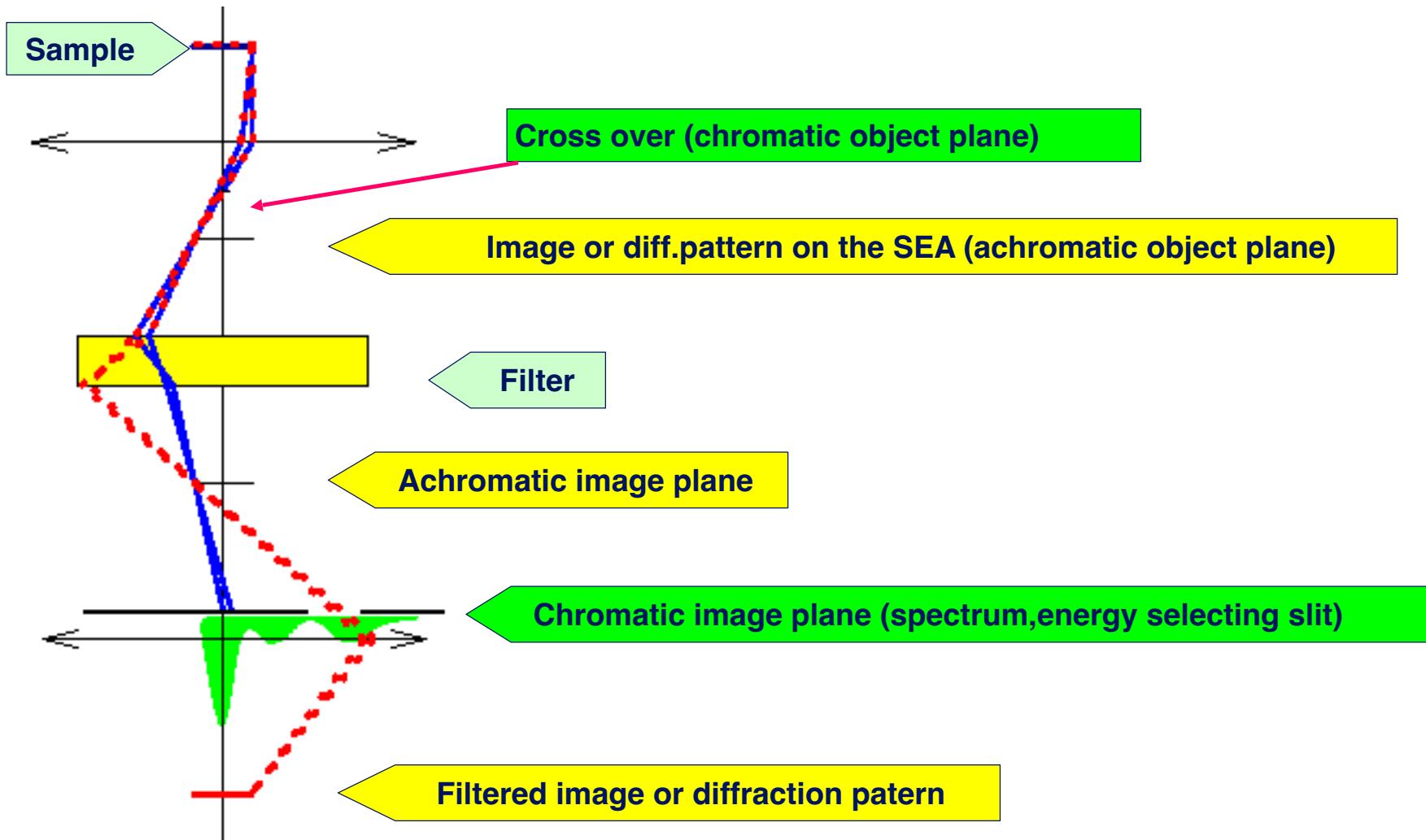
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# EPFL Energy-filtered TEM (EFTEM)

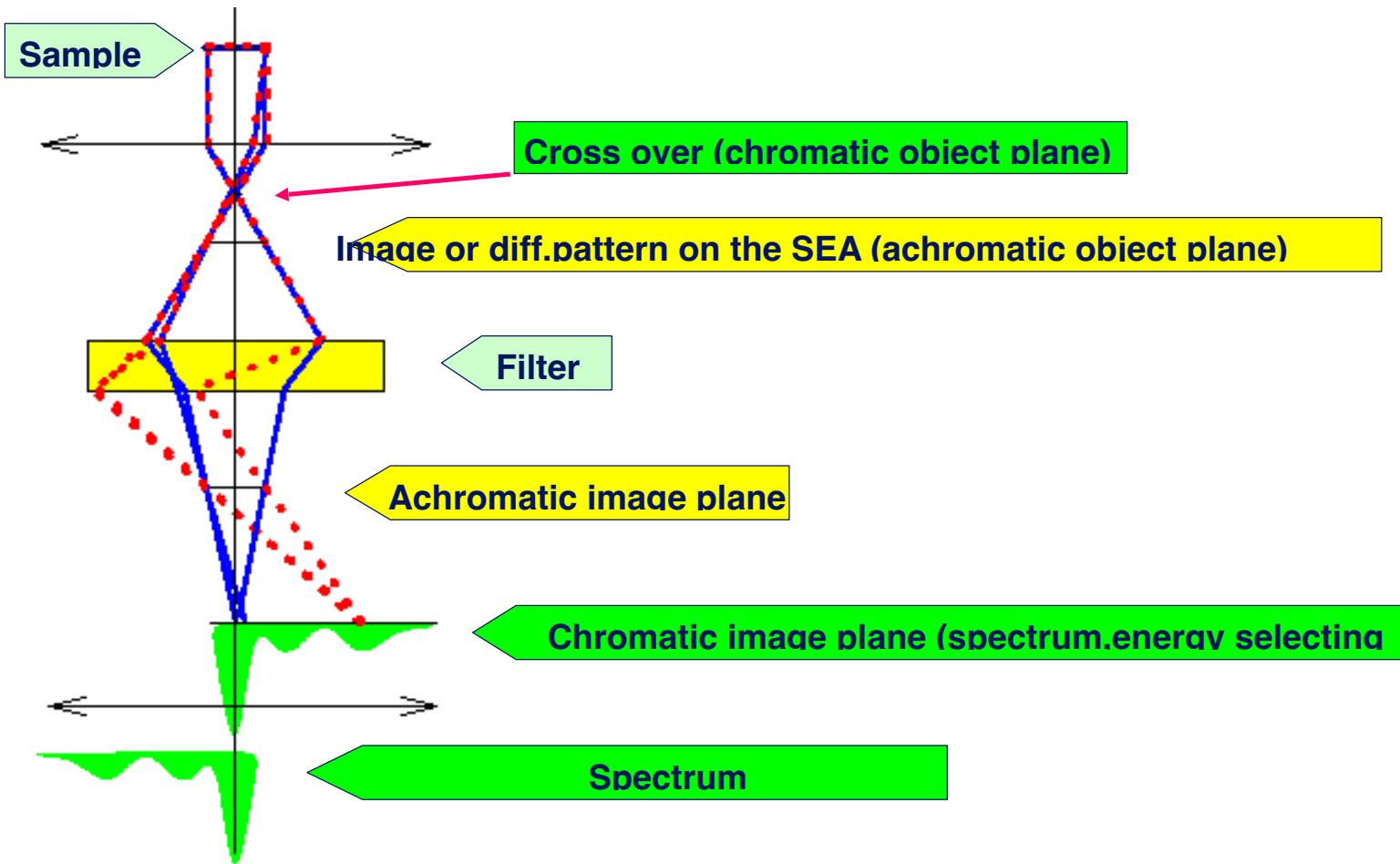
- Record TEM image(s) made from transmitted electrons having certain energy
- Images defined by energy-loss  $E$  and energy window
- Zero-loss filtering: TEM image of elastically-scattered electrons with no energy loss



# EPFL Energy-filtered TEM ray diagram

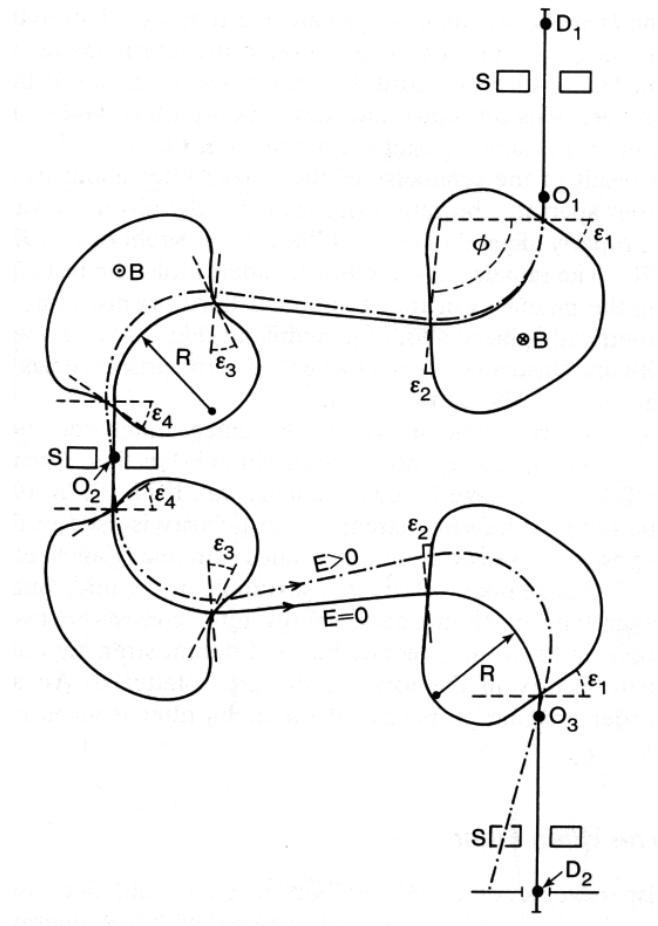


# EPFL Spectroscopy mode ray diagram



Two possibilities: in-column or post-column

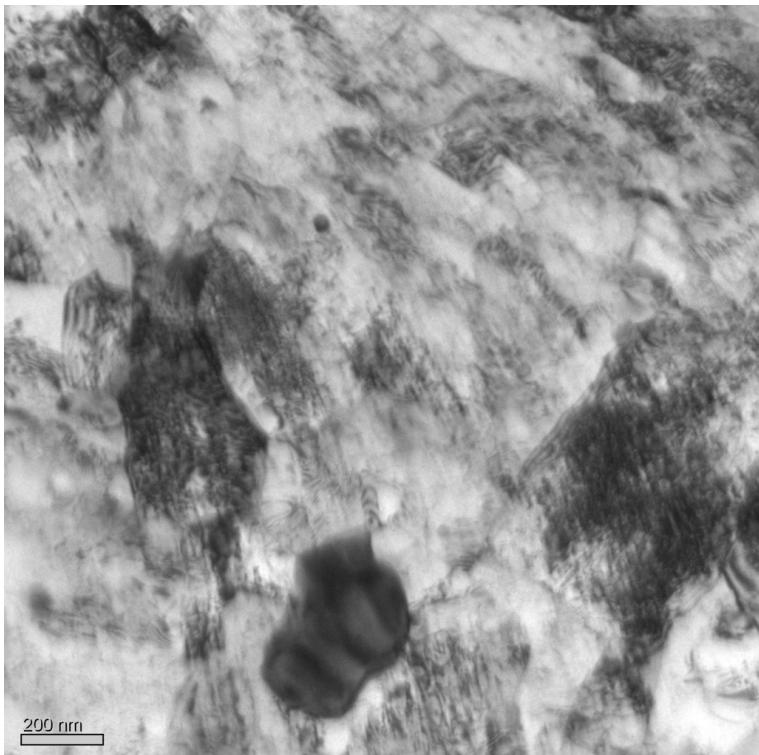
# EPFL In-column Omega filter – e.g. JEOL 2200FS



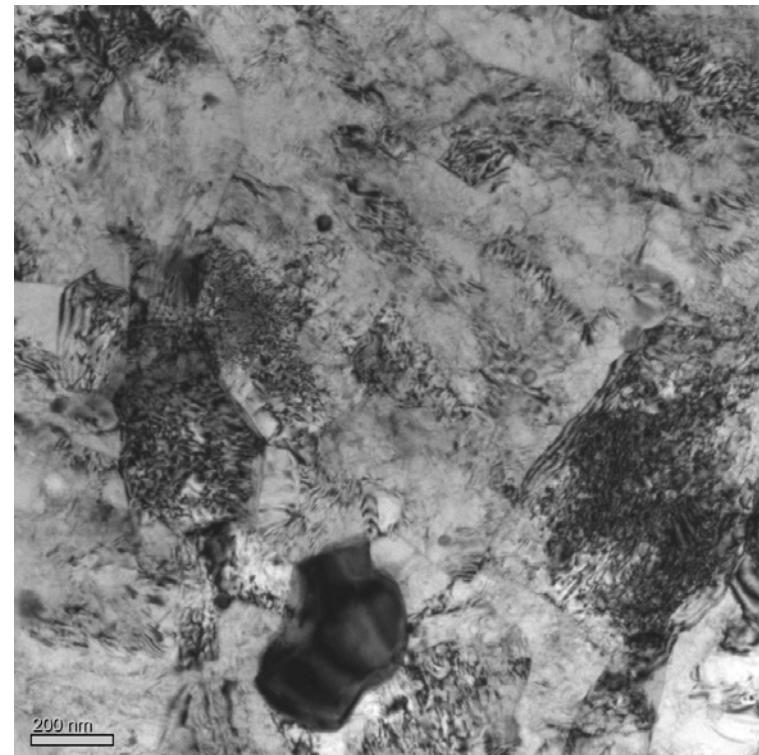
# EPFL Zero-loss EFTEM

- Zero-loss filtering can be used to remove diffuse inelastic scattering in TEM images of thick samples and in diffraction patterns
- Example – ODS reinforced steel, sample ~250 nm thick:

*Unfiltered* bright-field TEM:

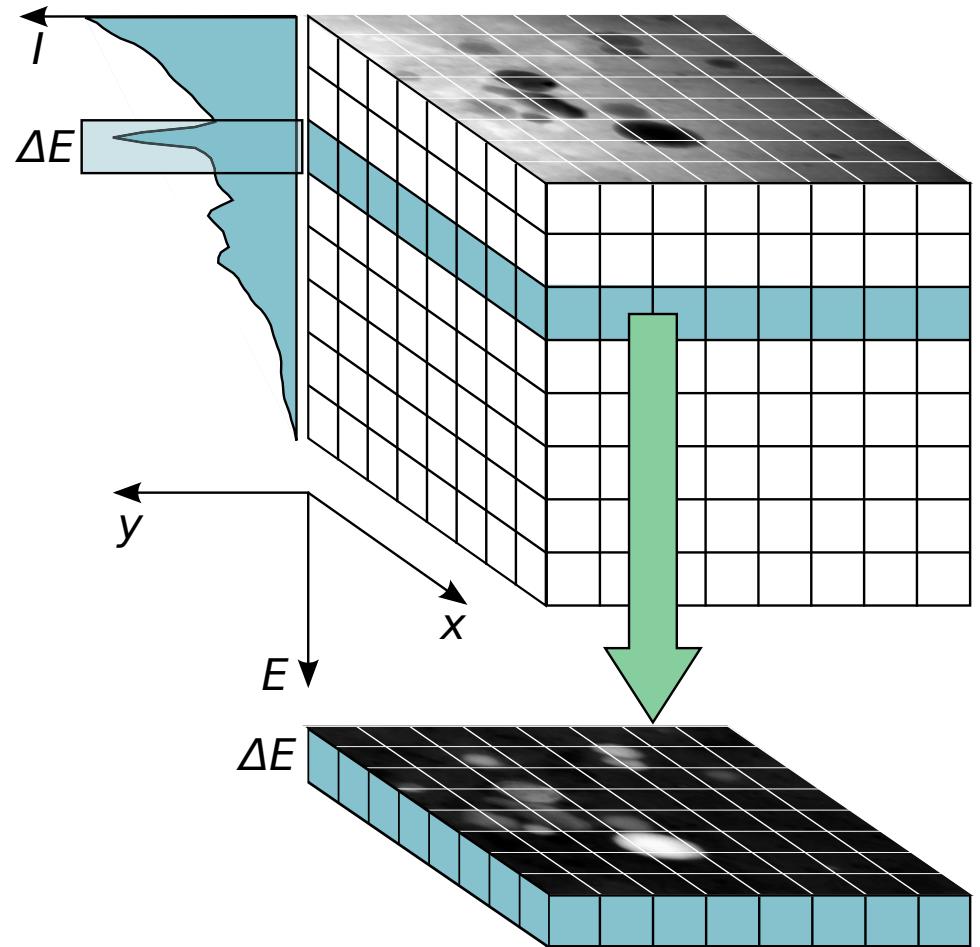


*Zero-loss* TEM:



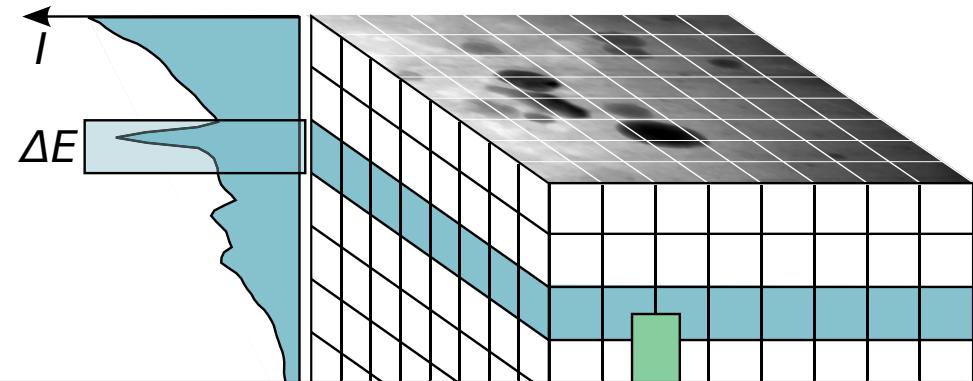
# EPFL Energy-filtered TEM (EFTEM)

- Record TEM image(s) made from transmitted electrons having a defined energy
- Images defined by energy-loss  $E$  and energy window
- Zero-loss filtering: TEM image of elastically-scattered electrons with no energy loss
- EFTEM spectrum imaging: create 3D data-cube by recording image series at consecutive energy losses



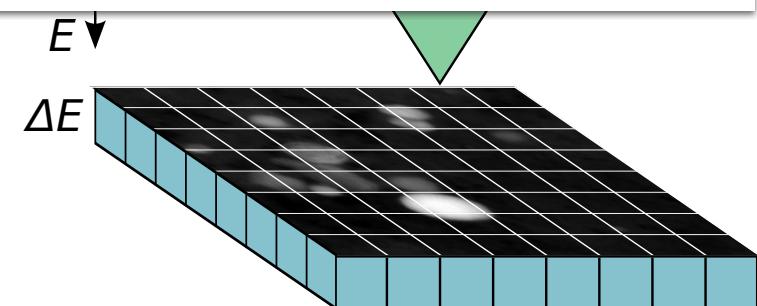
# EPFL Energy-filtered TEM (EFTEM)

- Record TEM image(s) made from transmitted electrons having a defined energy
- Images defined by energy-loss  $E$  and energy window



No equivalent with EDXS!  
EDXS mapping can only be done in STEM mode

- EFTEM spectrum imaging:  
create 3D data-cube by recording image series at consecutive energy losses



# EPFL Contents

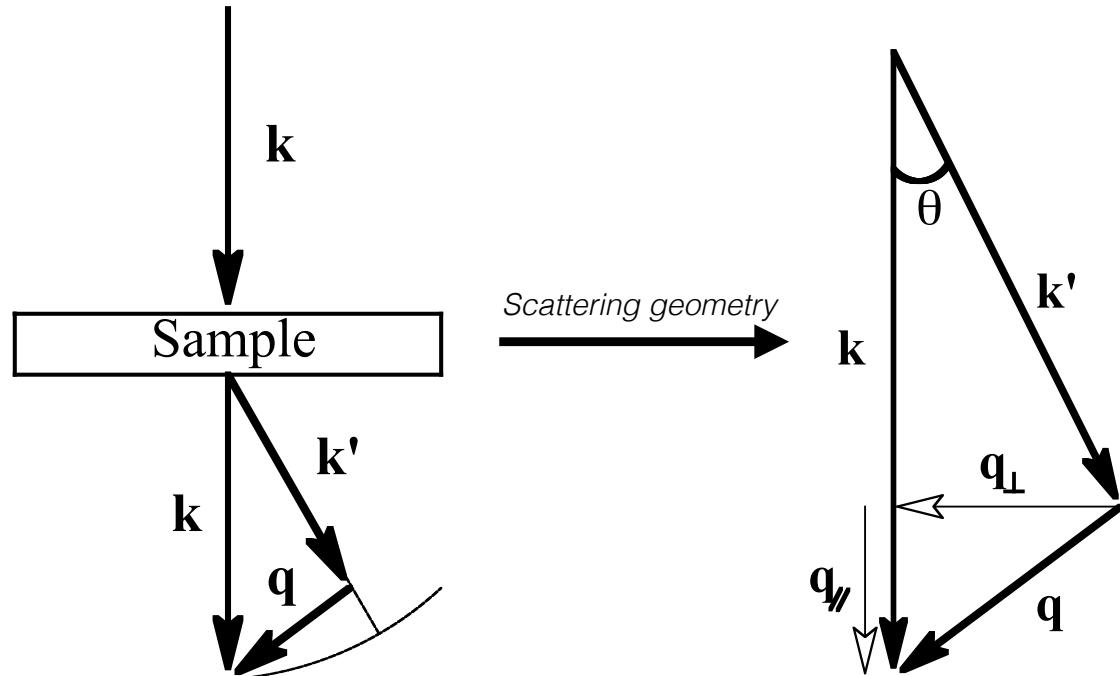
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# EPFL Inelastic scattering geometry

$\vec{k}$  – incident wave-vector

$\vec{k}'$  – scattered wave-vector

$$q^2 = k^2 + (k')^2 - 2 k k' \cos \theta$$



$$\theta_E = \frac{Em\gamma}{\hbar^2 k^2}$$

$$q_{\perp} = k\vartheta \text{ (geometry)}$$

$$q_{\parallel} = k\vartheta_E \text{ (definition of } \vartheta_E)$$

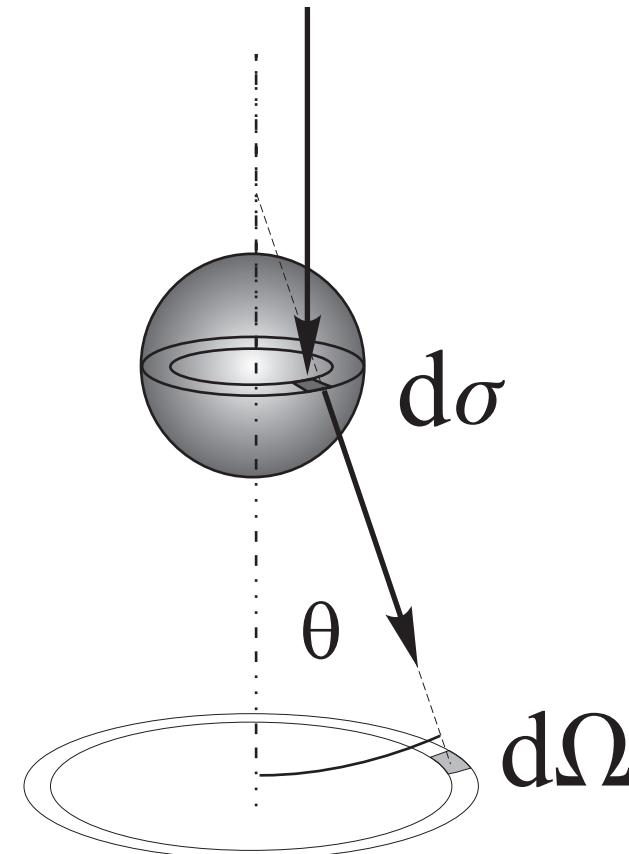
$$\vartheta \ll 1 \text{ therefore } q^2 = k^2(\vartheta_E^2 + \vartheta^2)$$

# EPFL Double differential scattering cross section

Relevant quantity: the *double differential scattering cross-section* (DDSCS) as a function of angle  $\theta$  and energy loss  $E$ .

It is given for one atom.

We consider a transition from initial state  $| I \rangle$  to final state  $| F \rangle$  for the core electron of the atom



# EPFL Angular distribution of the ionisation edge

With quantum mechanical derivation, find that DDSCS for inelastic events varies as  $\frac{1}{q^2}$

Since:  $q^2 = k^2(\theta^2 + \theta_E^2)$

DDSCS has an angular dependence:  $DDSCS(\theta) \propto \frac{1}{\theta^2 + \theta_E^2}$

Therefore the ionisation edge has an angular distribution of intensity that is **Lorentzian**

# EPFL Angular distribution of the ionisation edge

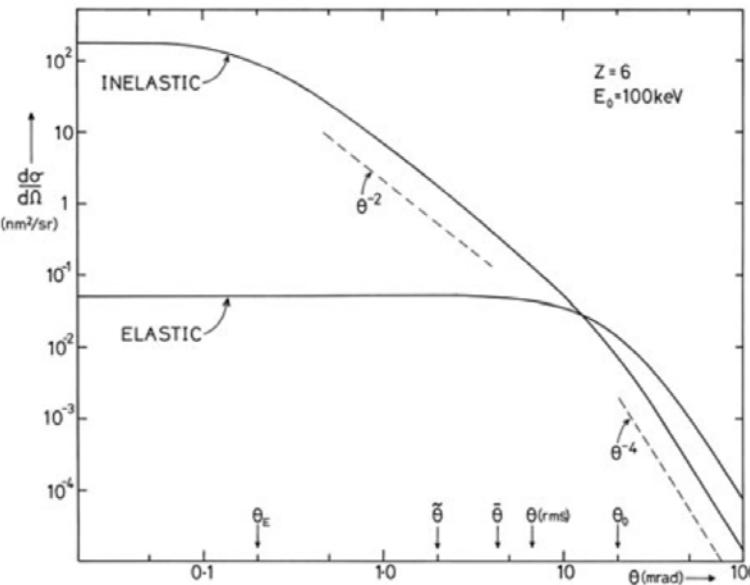
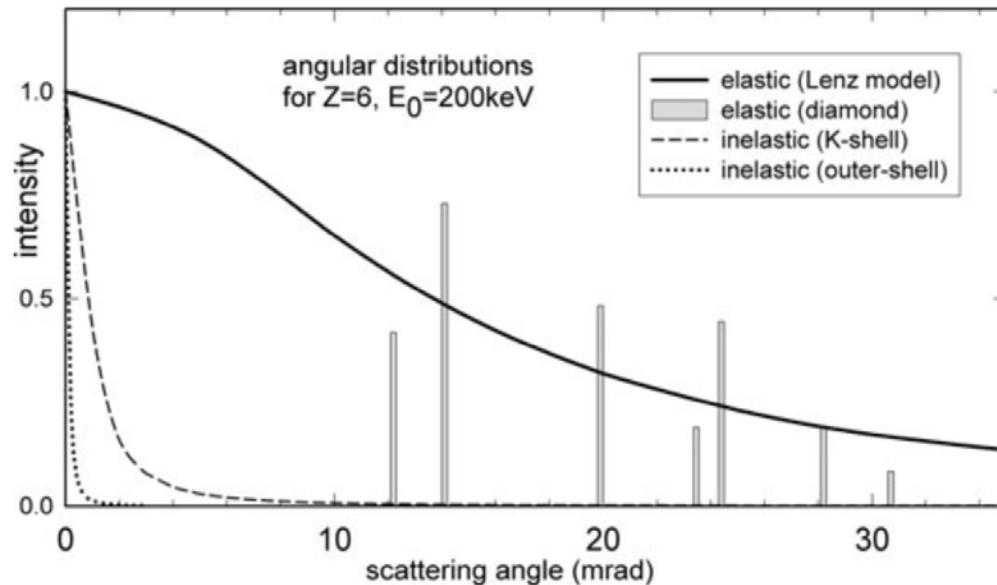
$$\frac{\partial^2 \sigma}{\partial \Omega \partial E} \propto \frac{1}{\theta^2 + \theta_E^2}$$

$\theta_E$  is the scattering angle for the half width at half maximum (HWHM) of this Lorentzian

$\theta_E$  is therefore considered as the *characteristic angle of scattering*, because most of the ionisation edge intensity will fall within a collection aperture of this angle

# EPFL Inelastic scattering angular range

- Inelastic scattering concentrated into much smaller angles than elastic scattering
- Characteristic angle for scattering:  $\theta_E = \frac{Em\gamma}{\hbar^2 k^2} = \frac{E}{\gamma mv^2} \sim \frac{E}{2E_0}$



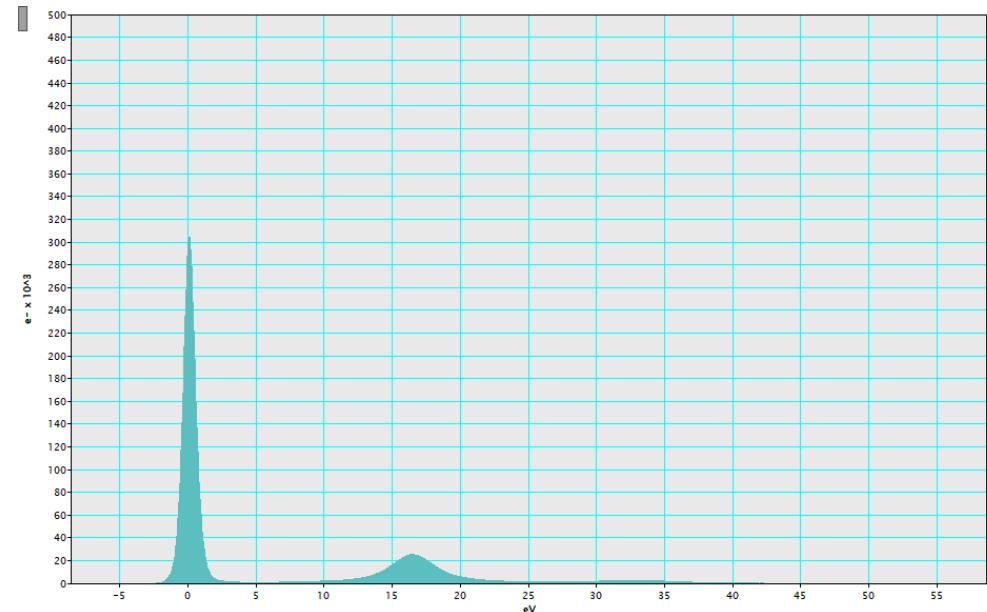
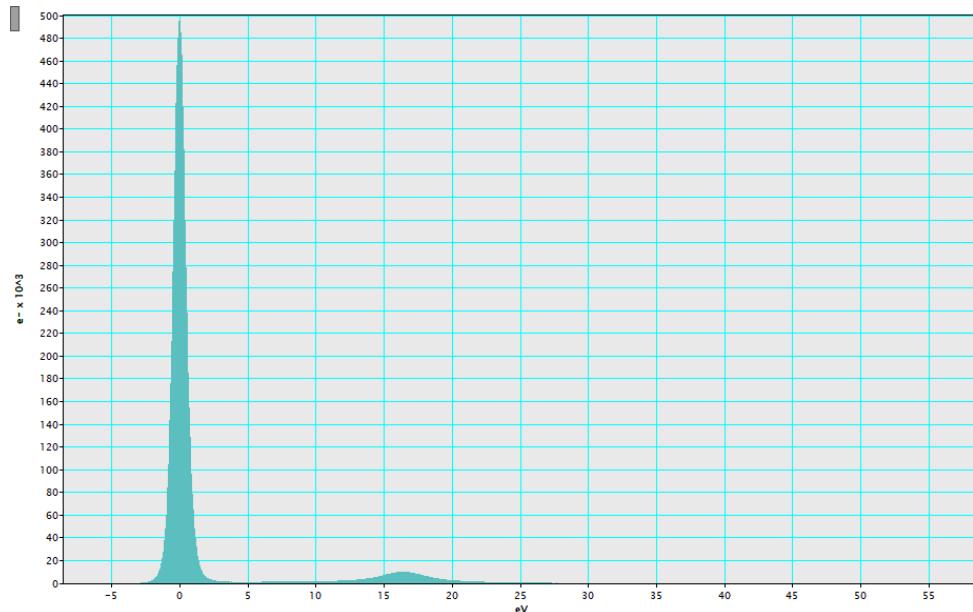
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# EPFL Low-loss EELS

- For  $\Delta E \sim 1\text{--}50\text{ eV}$ : excitation of plasmons
- Volume/bulk plasmon: oscillation of valence electrons

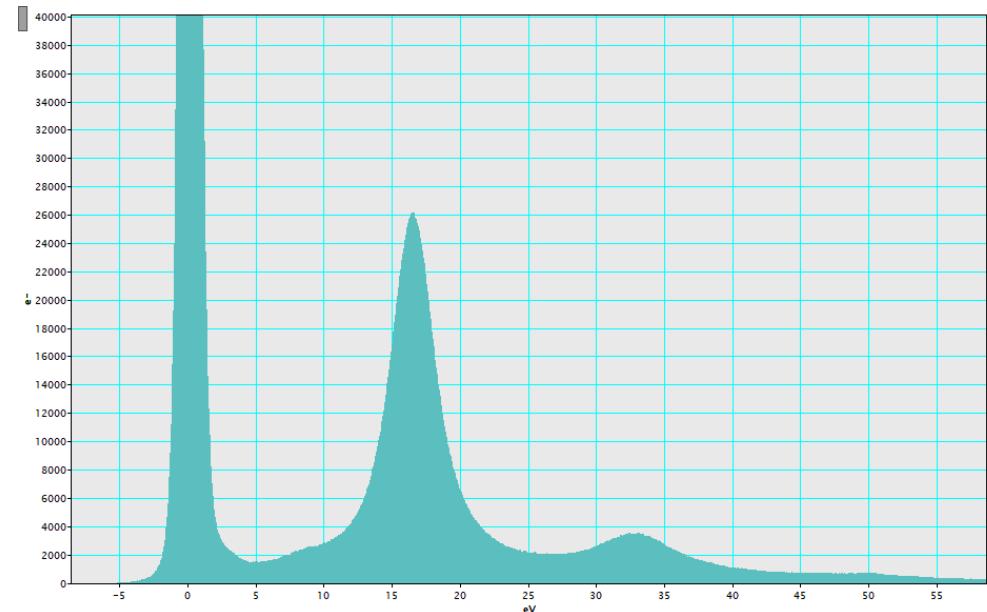
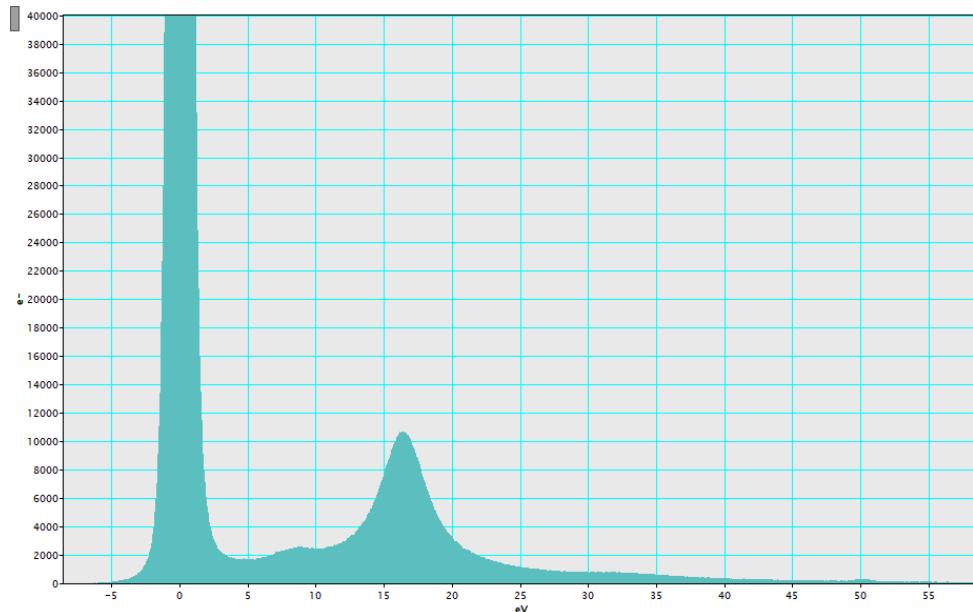
Example low-loss: crystalline Si



# EPFL Low-loss EELS

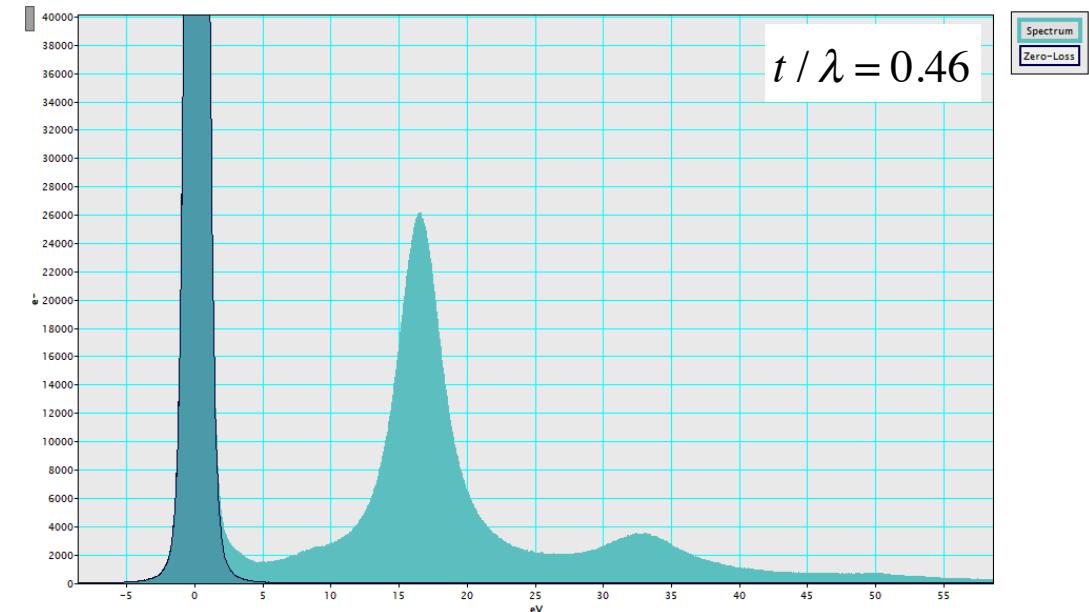
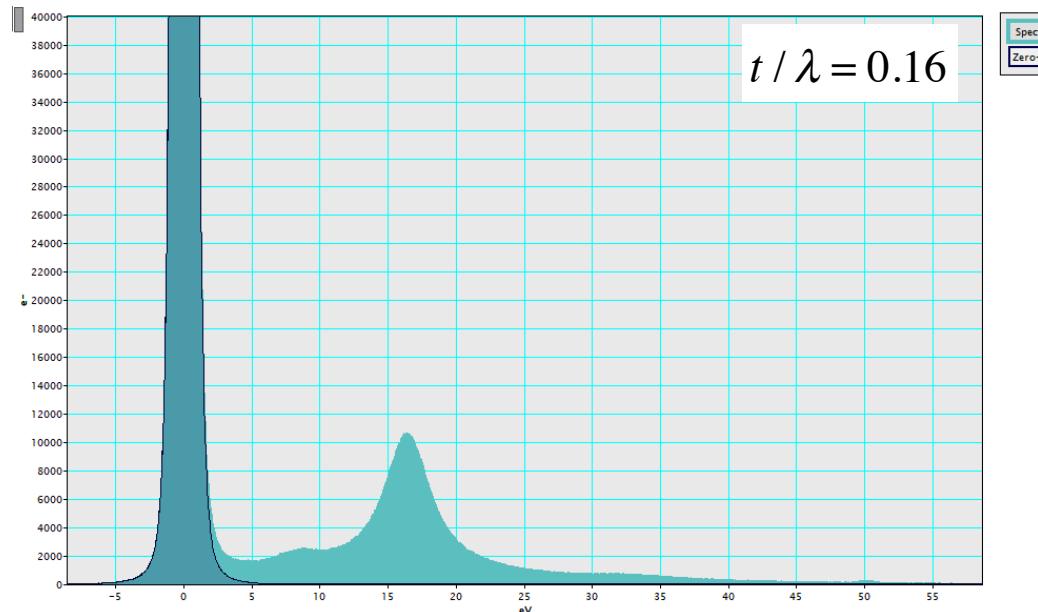
- For  $\Delta E \sim 1\text{--}50$  eV: excitation of plasmons
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Example low-loss: crystalline Si



# EPFL Plural scattering

- As specimen thickness increases, can have multiple scattering: *plural scattering*
- Inelastic scattering mean free path:  $\lambda$**
- From Poisson statistics:  $t/\lambda = \ln(I_t/I_0)$

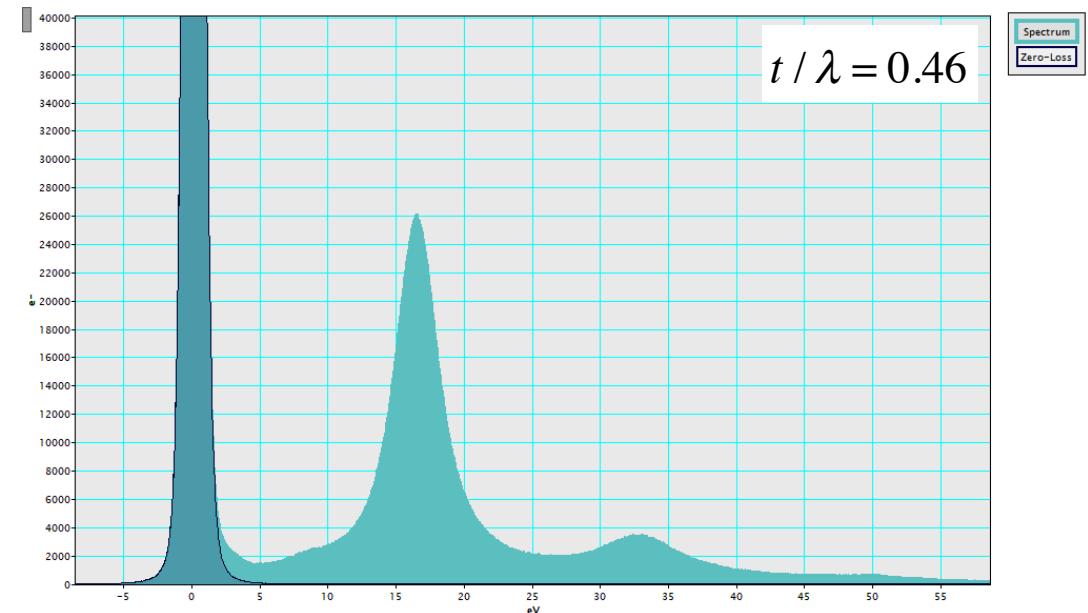


# EPFL Plural scattering; mean free path

- What is likely to influence the inelastic scattering mean free path?

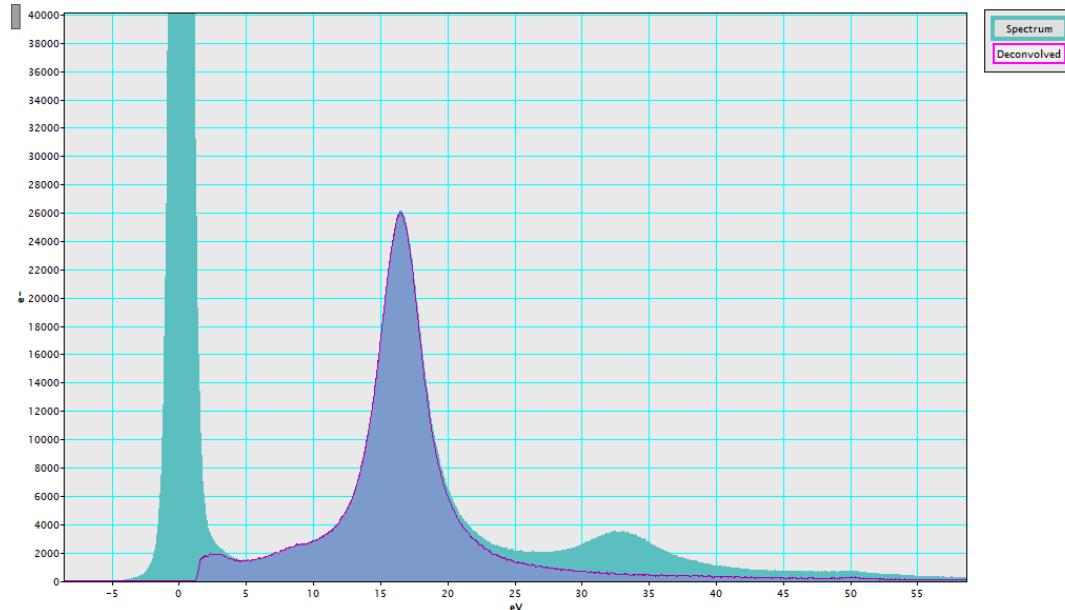
# EPFL Sample thickness measurement

- By calculating  $\lambda$  the sample thickness  $t$  can be estimated
- Two routines in Digital Micrograph:
  - Kramers-Kronig sum rule
  - Log-ratio (absolute) – Bethe sum rule
- Accuracy  $\sim \pm 5\text{--}10 \text{ nm}$



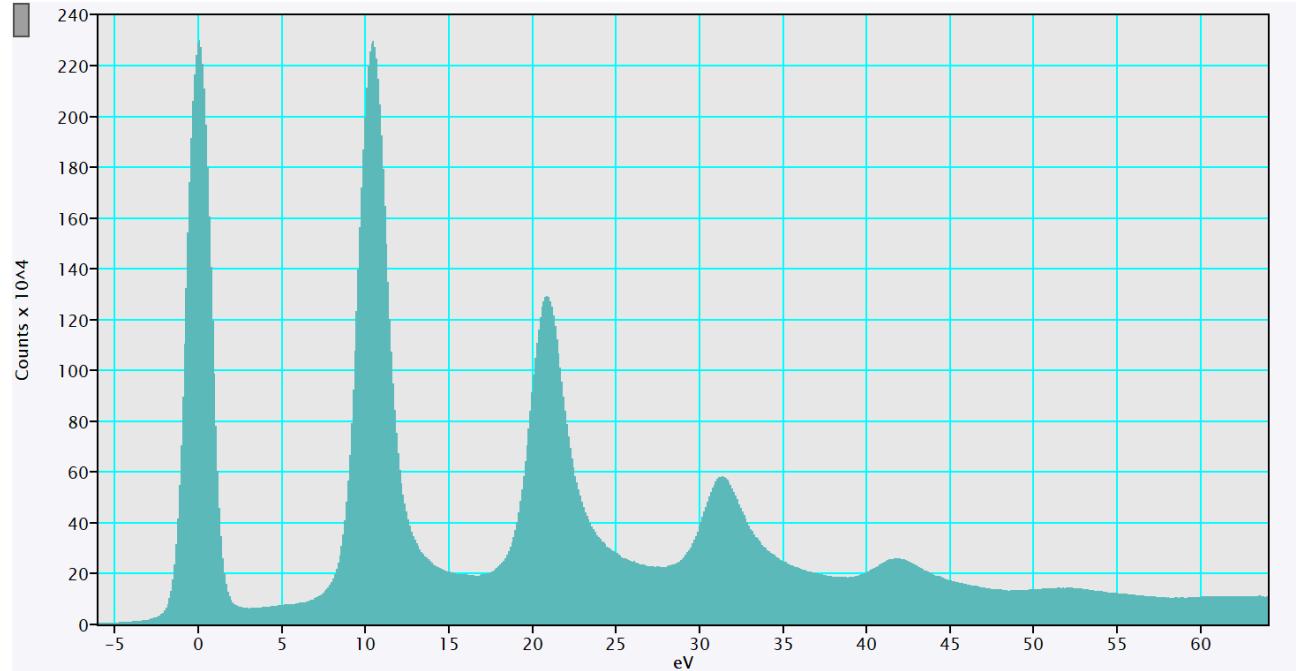
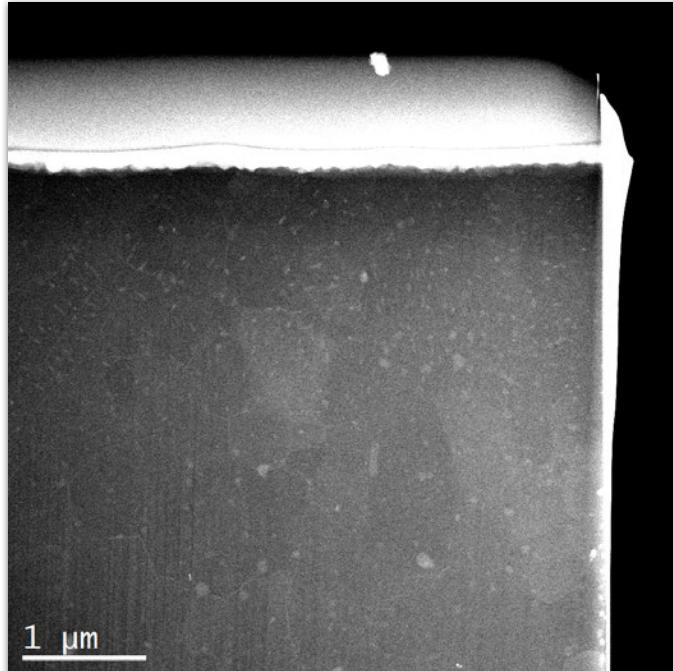
# EPFL Spectral deconvolution

- Deconvolution can be used to retrieve the *single-scattering distribution* (SSD)
- Core-loss spectra: use Fourier-ratio deconvolution (needs low-loss spectrum)
- Low-loss spectra: use Fourier-log deconvolution:



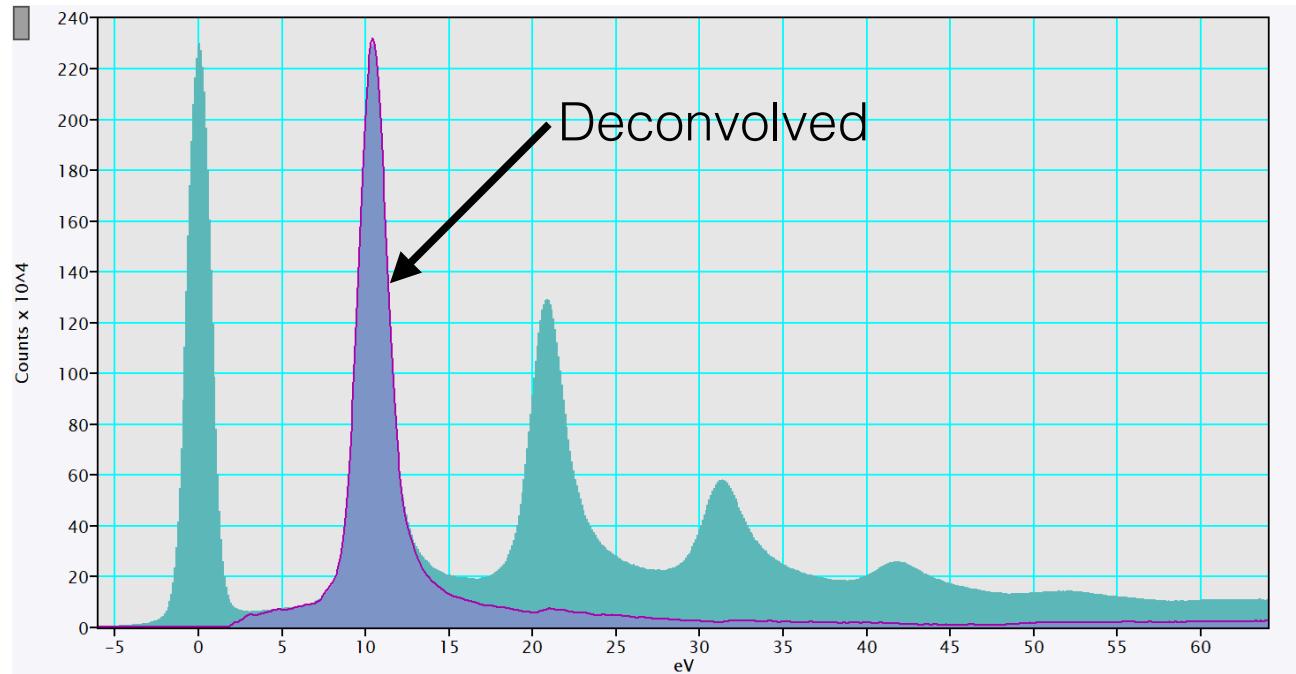
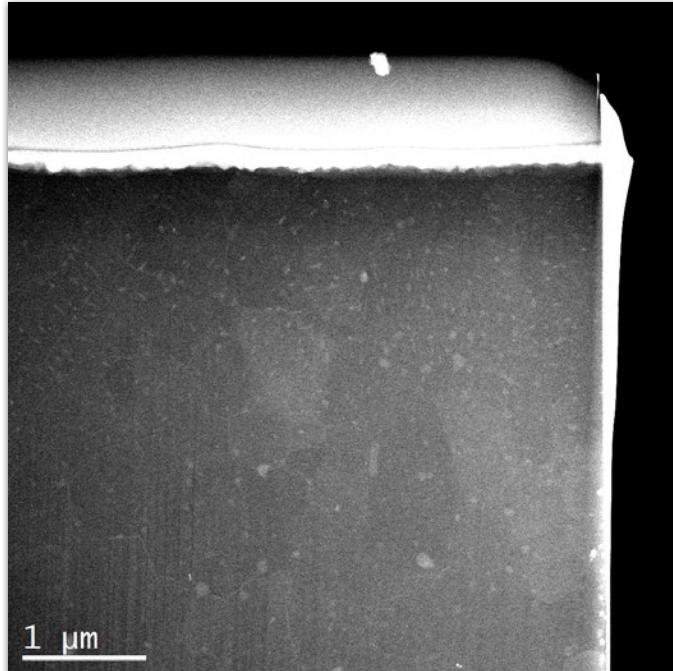
# EPFL Multiple scattering: Mg sample

- Thick sample:  $t / \lambda \approx 2.3$
- Well-defined plasmon peak (free electron gas)
- Multiple orders of plasmon peak excitation



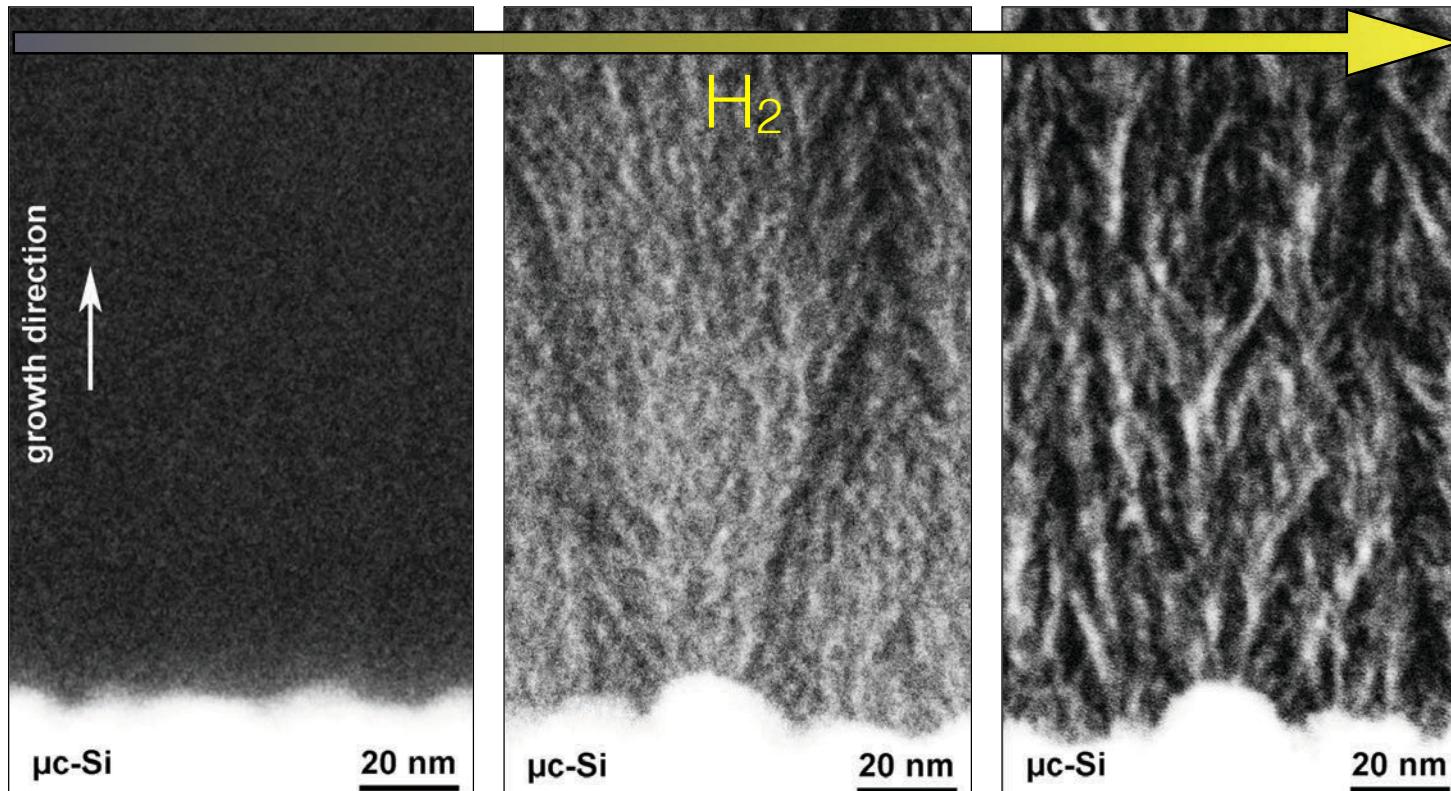
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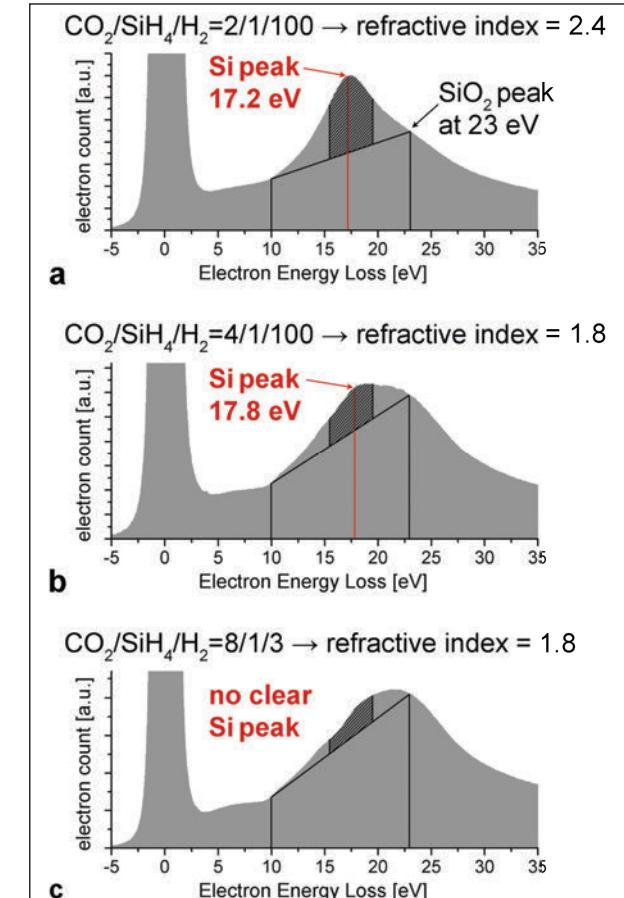


# EPFL Ex. plasmon use: mapping elemental Si

- Use plasmon peak to map elemental Si nano-filaments in  $\text{SiO}_x$  thin films



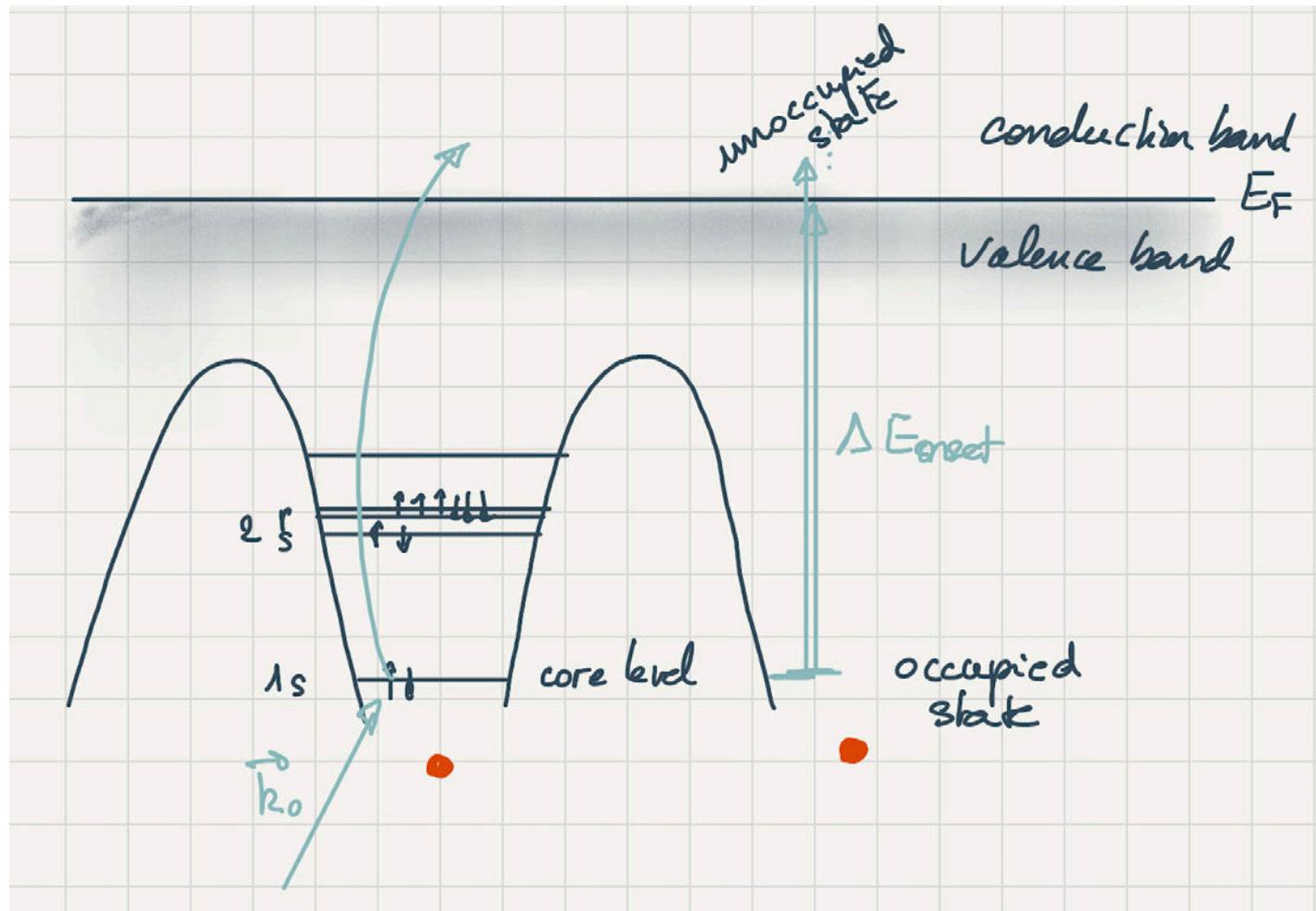
- Increasing  $\text{H}_2$  plasma  $\Rightarrow$  increased phase separation



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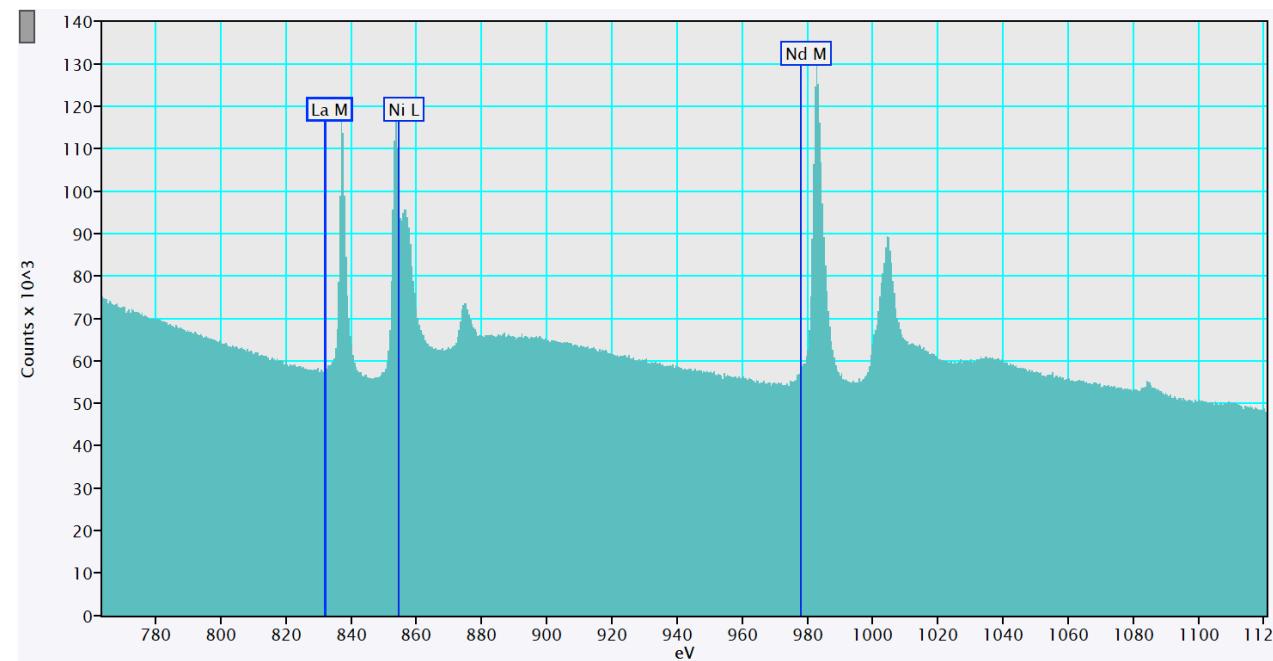
# EPFL Ionisation edge / “core-loss” EELS



# EPFL Ionisation edge / “core-loss” analysis

- Elemental ionisation edges superimposed on exponentially decaying background (fit with power-law model)
- Signal intensity proportional to projected atomic concentration and elemental partial ionisation scattering cross-section  $\Delta\sigma$

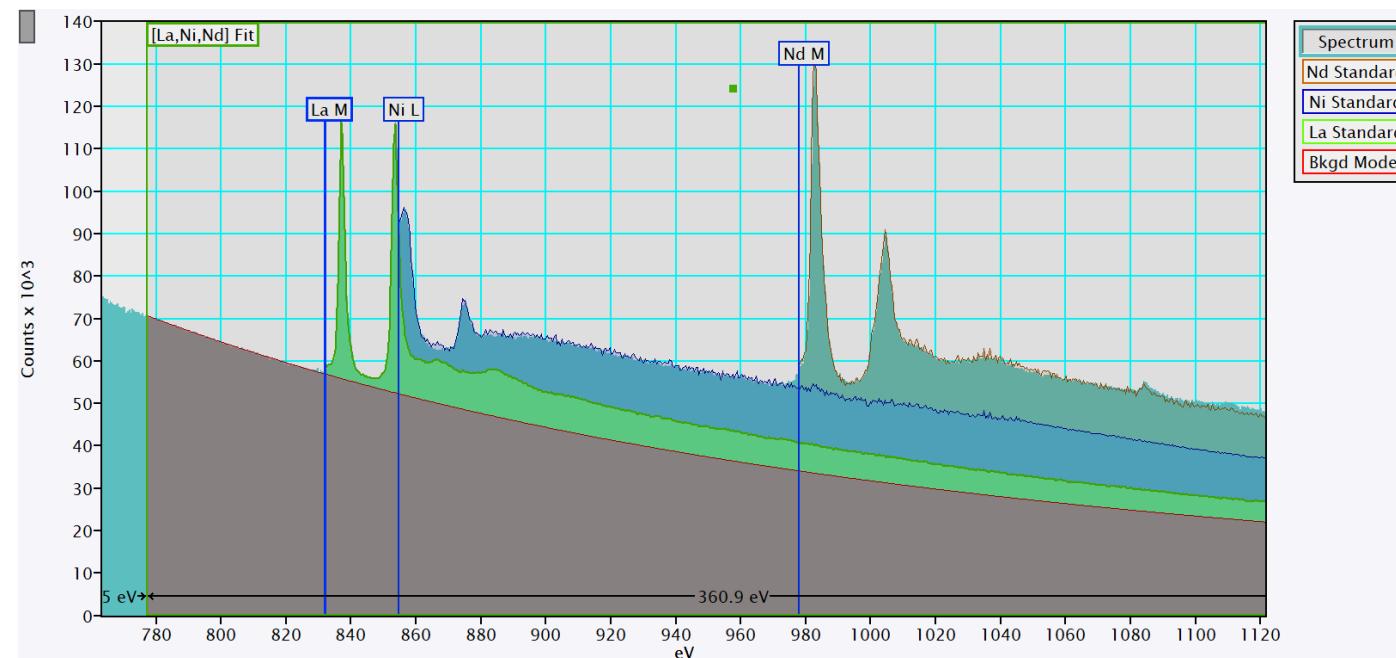
(La, Nd)NiO<sub>3</sub> alloy



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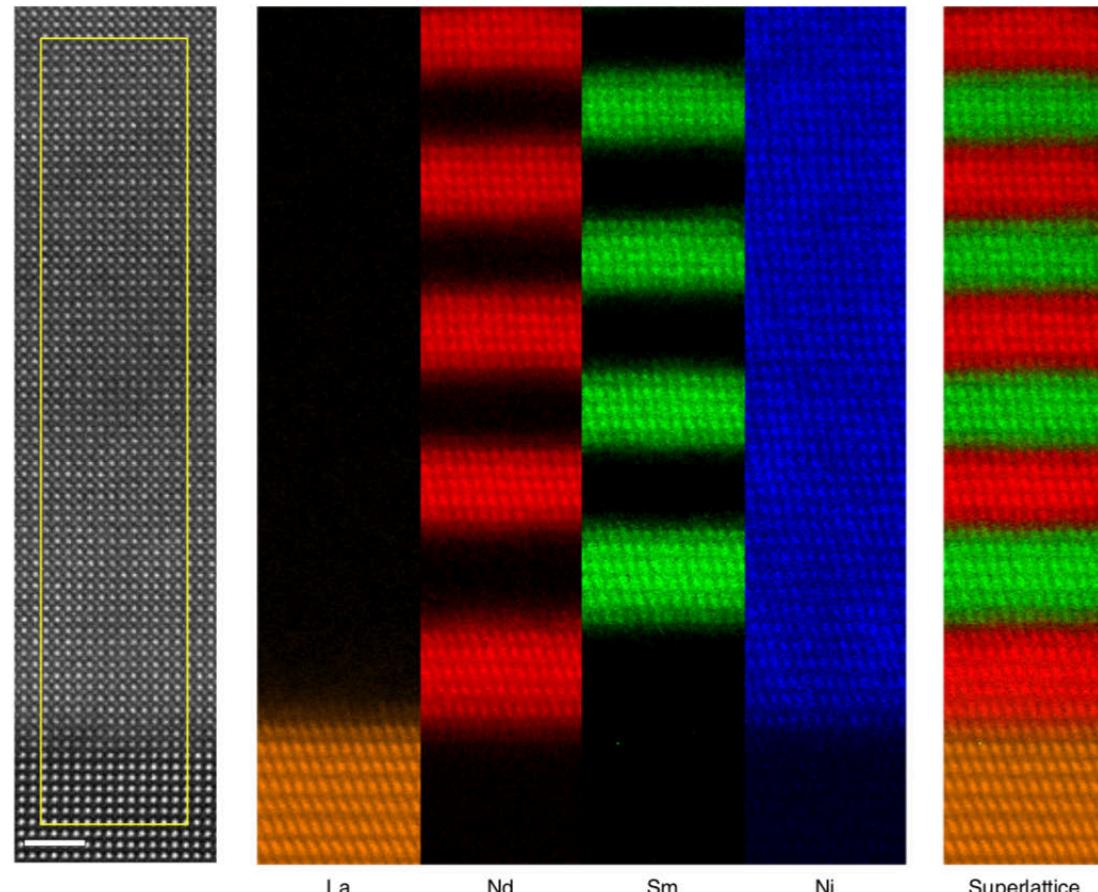
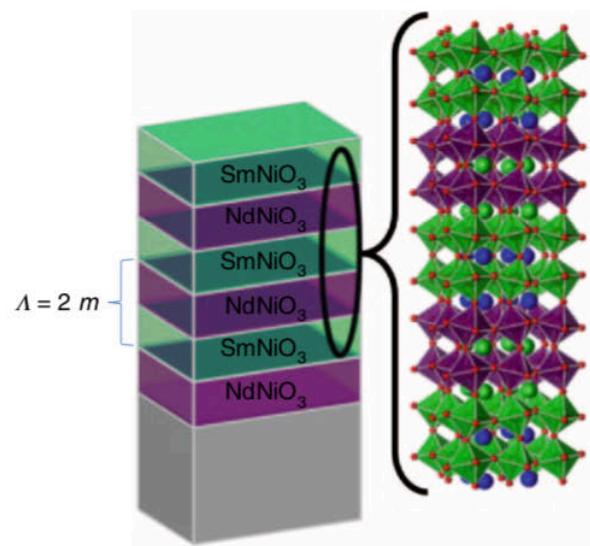
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# EPFL Elemental mapping – STEM-EELS

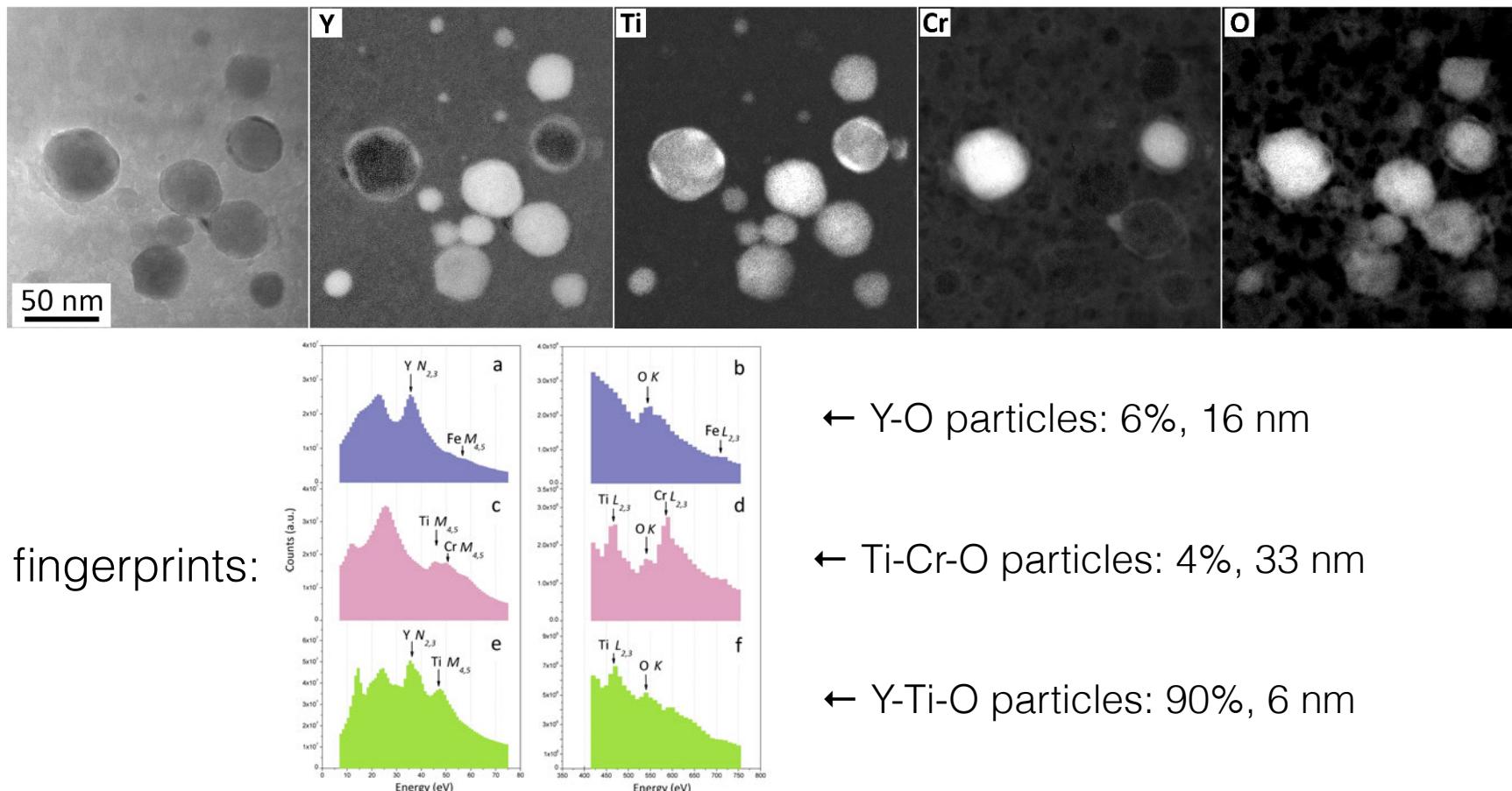
- Produce elemental maps by plotting integrated intensity in background-subtracted energy-loss “windows” that select different ionisation edges

Example:  $\text{NdNiO}_3 / \text{SmNiO}_3$   
superlattice on  $\text{LaAlO}_3$



# EPFL Elemental mapping – EFTEM

- Example: EFTEM spectrum imaging of ODS reinforced steel
- Statistics obtained on spatial distribution of different particle types



# EPFL Partial scattering cross section

The **partial cross section** for ionization of shell  $n$  is

$$\Delta\sigma = \int_{E_n}^{E_n + \Delta E} \int_{\Delta\Omega} dE d\Omega \frac{\partial^2 \sigma}{\partial E \partial \Omega}. \quad (1)$$

$\Delta\sigma$  has dimension  $m^2/\text{atom}$ .

An incident beam *current density*  $j_0$  causes, in a specimen with number of atoms  $N$  irradiated by the beam, within  $\Delta E \Delta \Omega$  a probe current

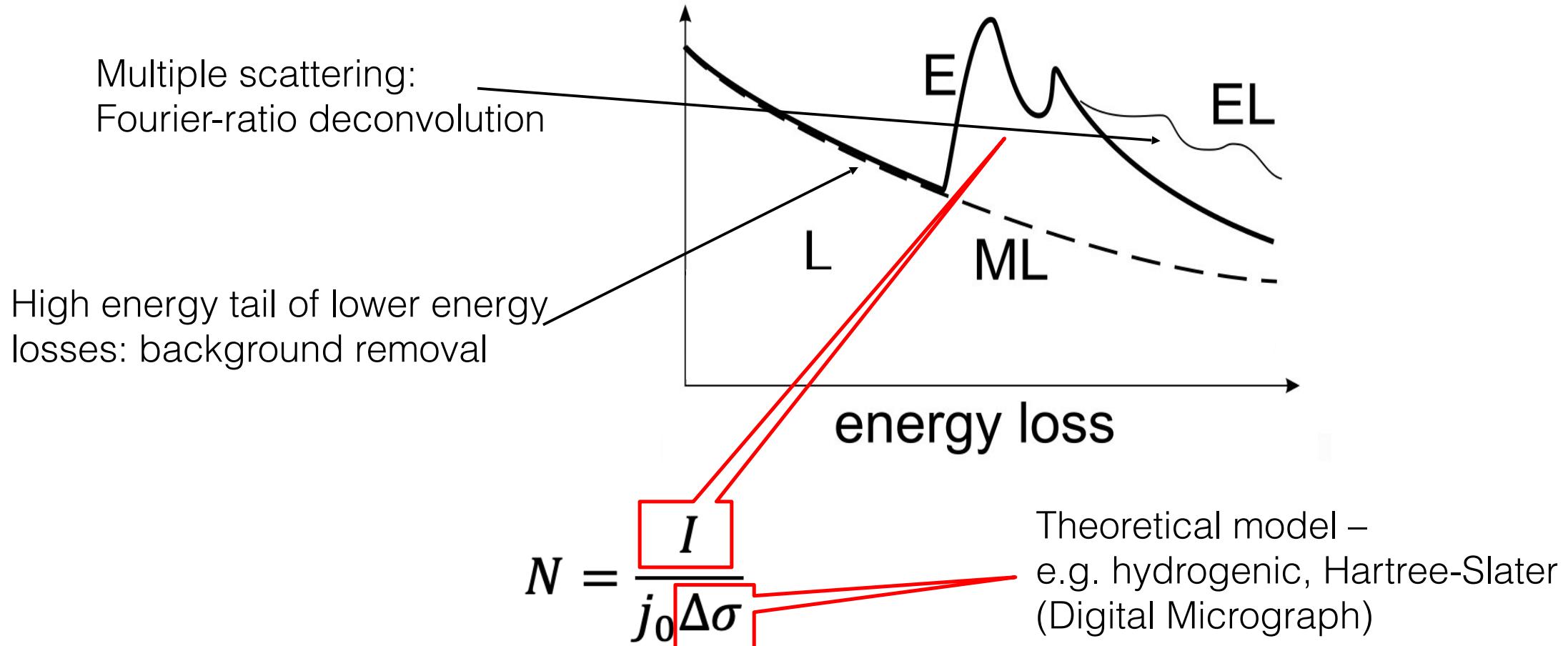
$$I = N \Delta\sigma j_0. \quad (2)$$

Here, we have ignored the background signal, as well as multiple scattering.

The number  $N$  of atoms irradiated by the probe is then

$$N = \frac{I}{j_0 \Delta\sigma}. \quad (3)$$

# EPFL Ionisation edge / “core-loss” analysis

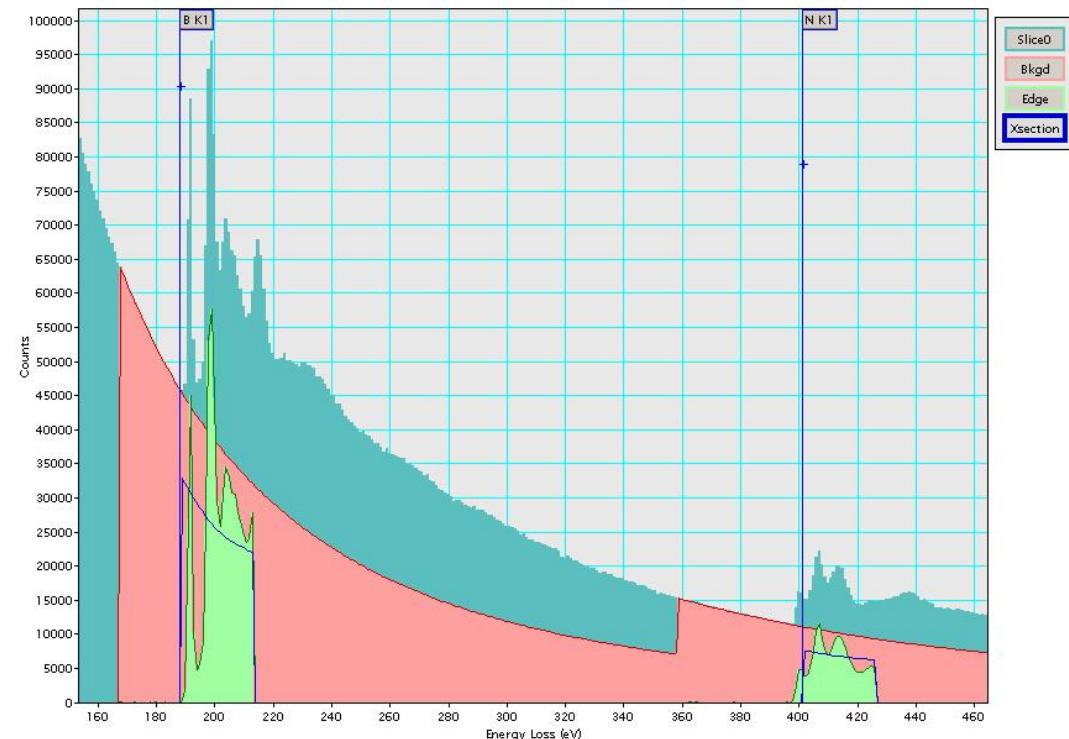


# EPFL Elemental quantification

- Number of atoms per unit area  $N$  given by: 
$$N = \frac{I}{j_0 \Delta \sigma}$$
- Elemental percentages calculated using: 
$$\frac{N_a}{N_b} = \frac{I_a}{I_b} \frac{\Delta \sigma_b}{\Delta \sigma_a}$$
- For good quantification, need knowledge and correct choice of convergence semi-angle  $\alpha$  and EEL spectrometer collection semi-angle  $\beta$
- Fit background with power-law model:  $I_B = AE^{-r}$

# EPFL Elemental quantification

- Example: BN measured in TEM mode at 200 keV:
- B K-edge at 188 eV
- N K-edge at 401 eV
- TEM mode:  $\alpha \approx 0$  mrad;  $\beta = 100$  mrad
- Quantification: 47 at.% B / 53 at.% N



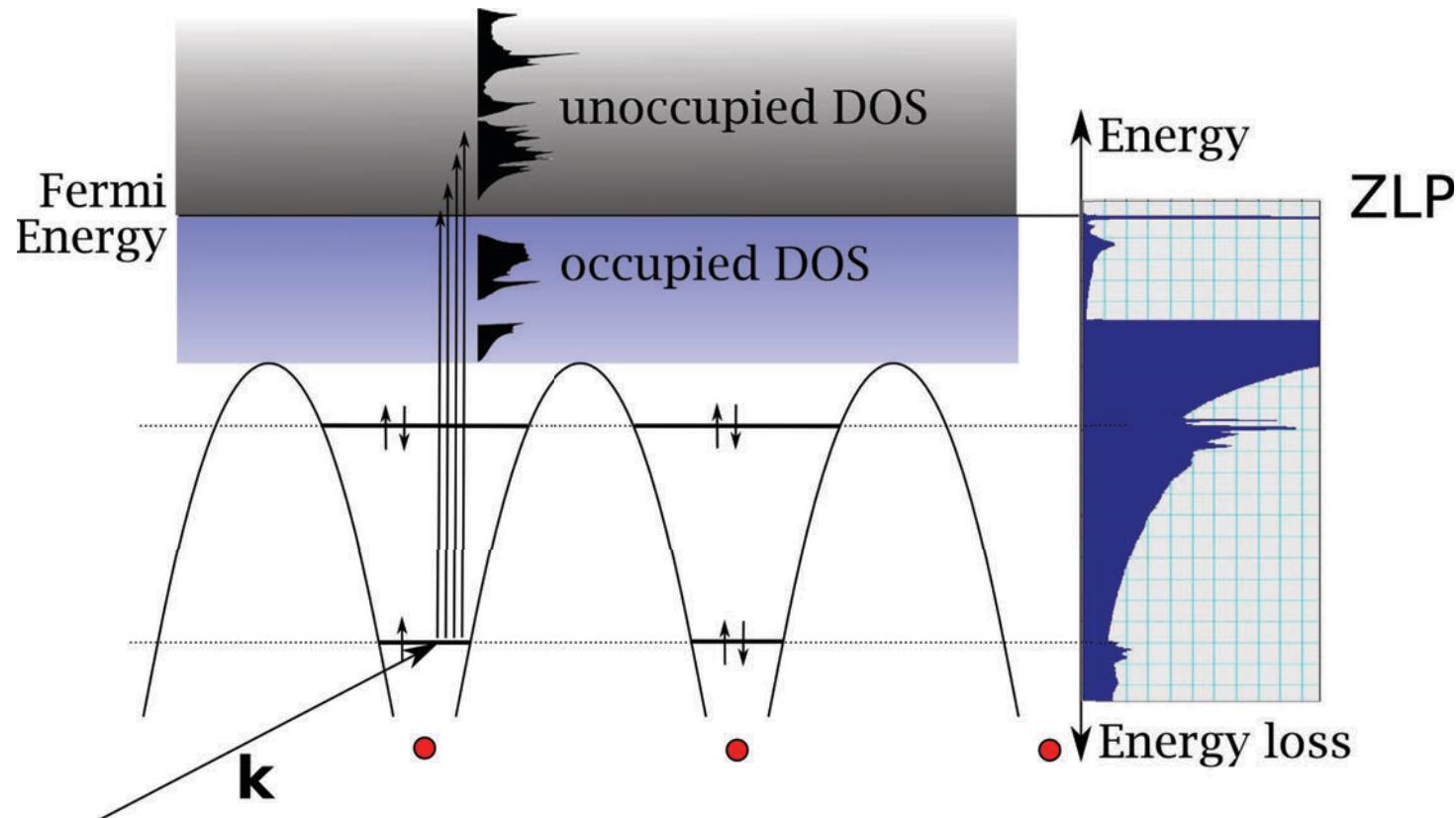
Note: Consider characteristic angle of scattering:  $\theta_E \sim \frac{E}{2E_0}$

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# EPFL Energy-loss near edge structure (ELNES)

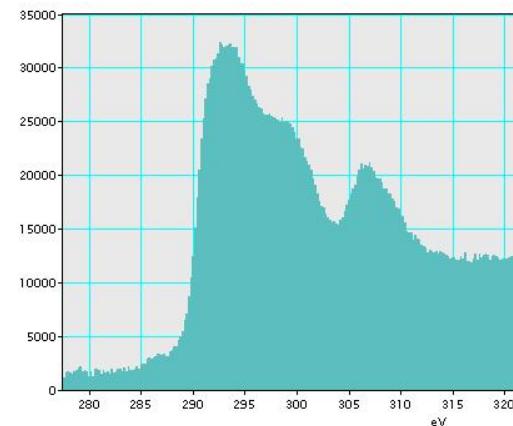
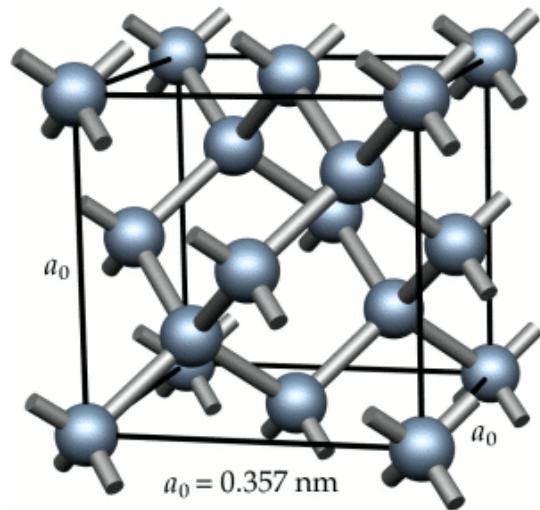
- Ionisation edge onsets show peaks related to unoccupied density of states (DOS)
- Also called “fine structure”



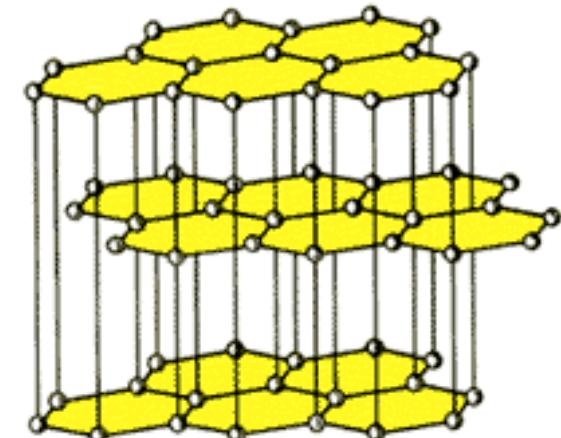
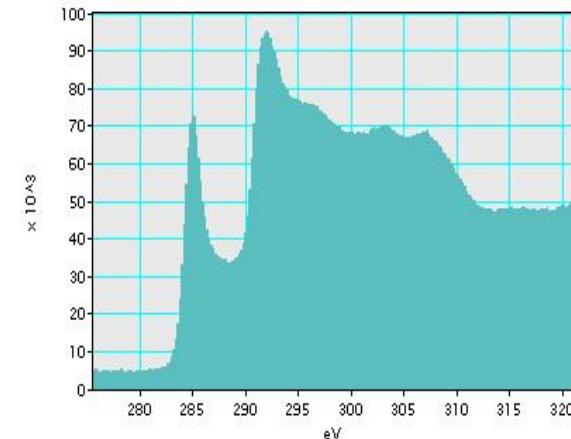
# EPFL Core-loss fine structure (ELNES)

- Example: carbon  $K$ -edge

Diamond

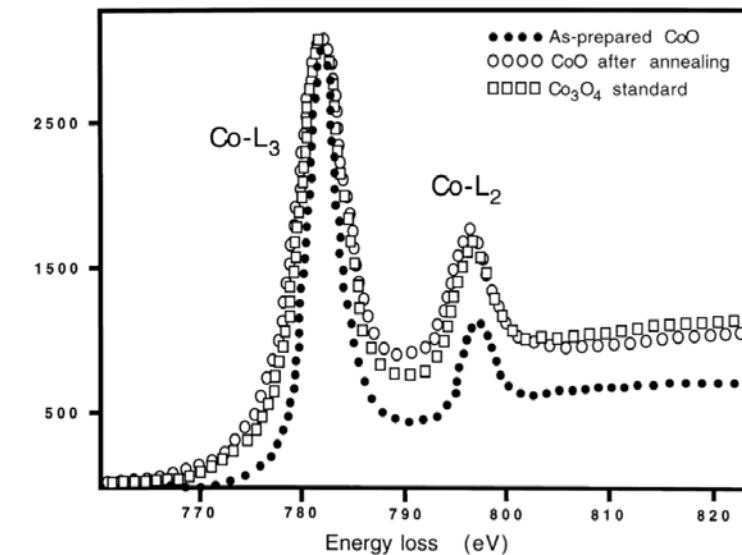
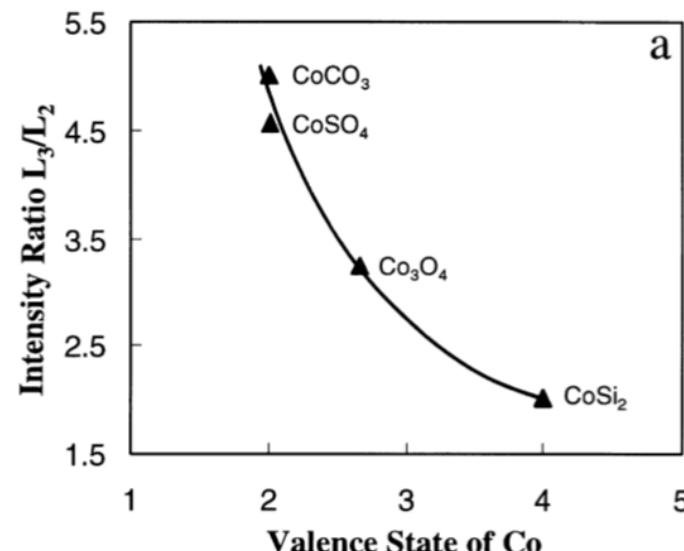
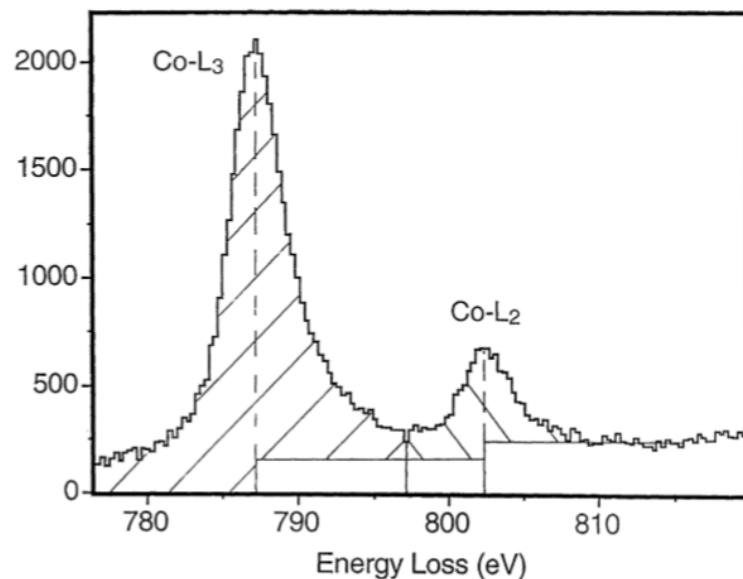


Graphite



# EPFL ELNES “White line” analysis

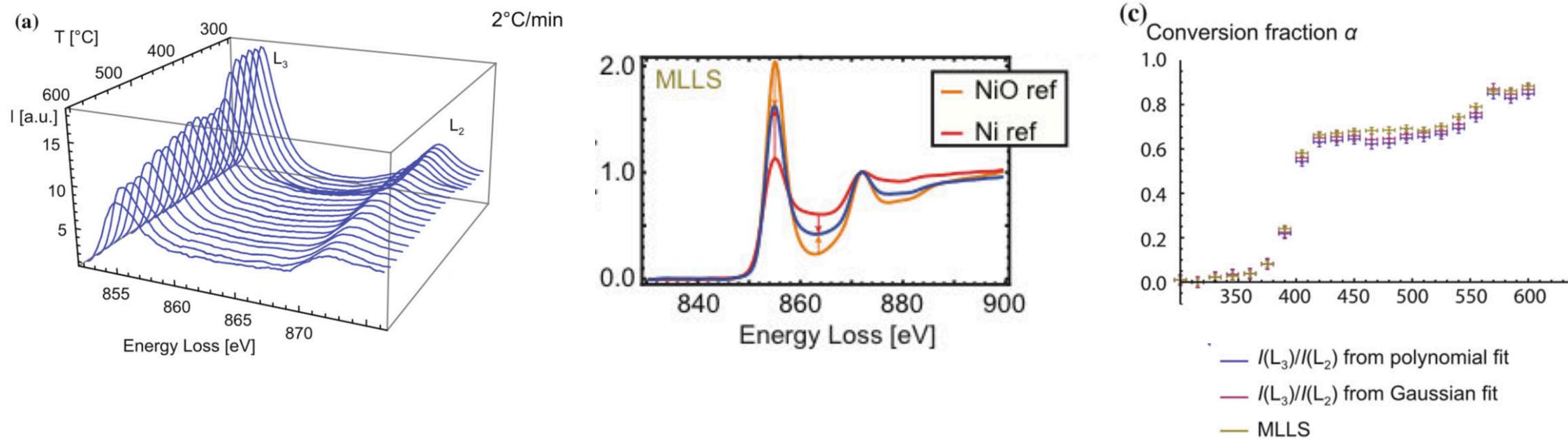
- ELNES peaks used to determine valence/oxidation state e.g. of transition metals
- Example: Co oxidation state



Wang et al. Micron **31** 571 (2000)

# EPFL ELNES “White line” analysis

- ELNES peaks used to determine valence/oxidation state e.g. of transition metals
- Example: Ni oxidation state during *in-situ* reduction of NiO



Jeangros et al. J. Mater. Sci. **48** 2893 (2013)

# Periodic Table of the Elements 2005

1 H 1.01	2 Be 9.01	3 Li 6.94	4 Be 9.01	5 B 10.81	6 C 12.01	7 N 14.01	8 O 15.99	9 F 19.00	10 Ne 20.18	11 Na 22.99	12 Mg 25.31	13 Al 26.98	14 Si 28.09	15 P 30.97	16 S 32.07	17 Cl 35.45	18 Ar 39.95
19 K 39.10	20 Ca 40.08	21 Sc 44.96	22 Ti 47.87	23 V 50.94	24 Cr 52.00	25 Mn 54.94	26 Fe 55.85	27 Co 58.93	28 Ni 58.69	29 Cu 63.55	30 Zn 65.41	31 Ga 69.72	32 Ge 72.64	33 As 74.92	34 Se 78.96	35 Br 79.90	36 Kr 83.80
37 Rb 85.47	38 Sr 87.62	39 Y 88.91	40 Zr 91.22	41 Nb 92.91	42 Mo 95.94	43 Tc (98)	44 Ru 101.07	45 Rh 102.91	46 Pd 106.42	47 Ag 107.87	48 Cd 112.41	49 In 114.82	50 Sn 118.71	51 Sb 121.76	52 Te 127.60	53 I 126.90	54 Xe 131.29
55 Cs 132.91	56 Ba 137.33	57 La 138.91	72 Hf 178.49	73 Ta 180.95	74 W 183.84	75 Re 186.21	76 Os 190.23	77 Ir 192.22	78 Pt 195.08	79 Au 196.97	80 Hg 200.59	81 Tl 204.38	82 Pb 207.2	83 Bi 208.98	84 Po (209)	85 At (214)	86 Rn (222)
87 Fr (223)	88 Ra (226)	89 Ac (227)	104 Rf (261)	105 Db (262)	106 Sg (266)	107 Bh (264)	108 Hs (270)	109 Mt (268)	110 Ds (281)	111 Rg (272)							

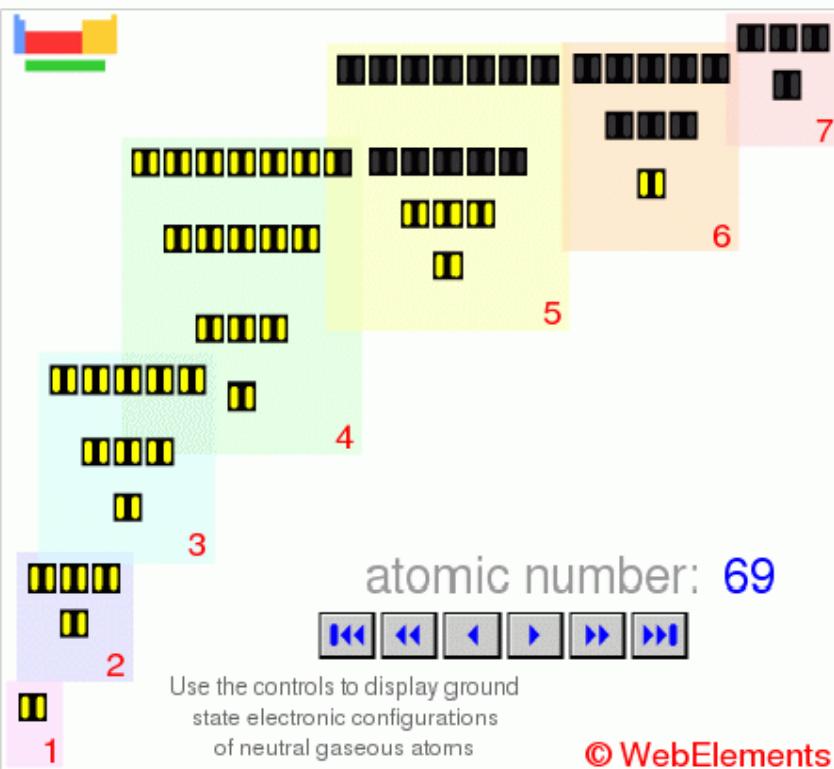


58 Ce 140.12	59 Pr 140.91	60 Nd 144.24	61 Pm (145)	62 Sm 150.36	63 Eu 151.97	64 Gd 157.25	65 Tb 158.93	66 Dy 162.50	67 Ho 164.93	68 Er 166.96	69 Tm 168.93	70 Yb 173.04	71 Lu 174.96
90 Th 232.04	91 Pa 231.04	92 U 238.03	93 Np (237)	94 Pu (244)	95 Am (243)	96 Cm (247)	97 Bk (247)	98 Cf (251)	99 Es (252)	100 Fm (253)	101 Md (254)	102 No (255)	103 Lr (256)

# EPFL ELNES fine structure

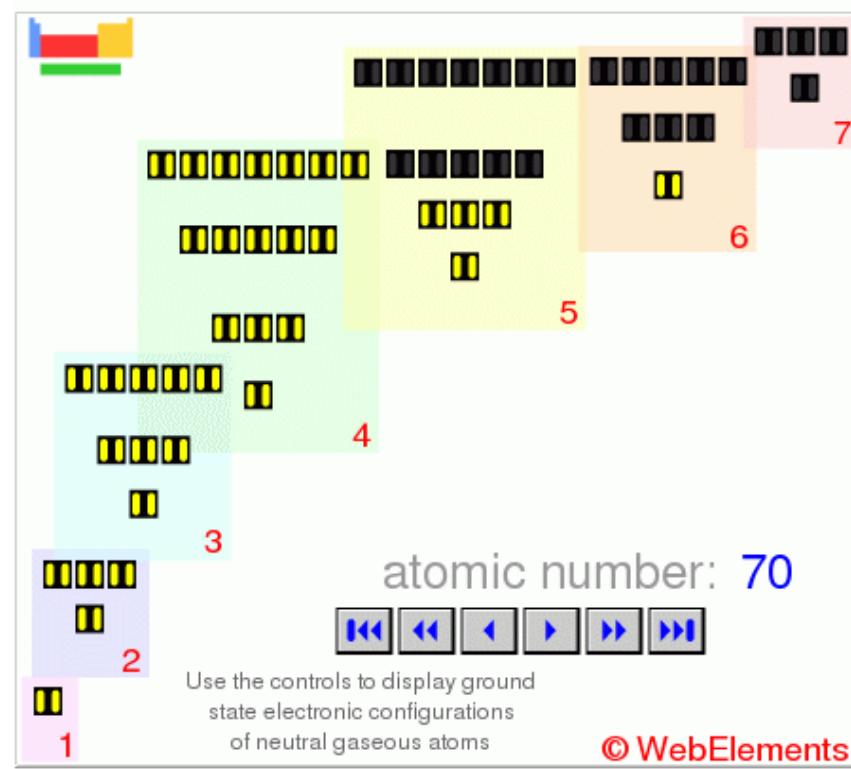
## Thulium

- **Ground state electron configuration:**  $[\text{Xe}].4\text{f}^{13}.6\text{s}^2$
- **Shell structure:** 2.8.18.31.8.2
- **Term symbol:**  $^2\text{F}_{7/2}$

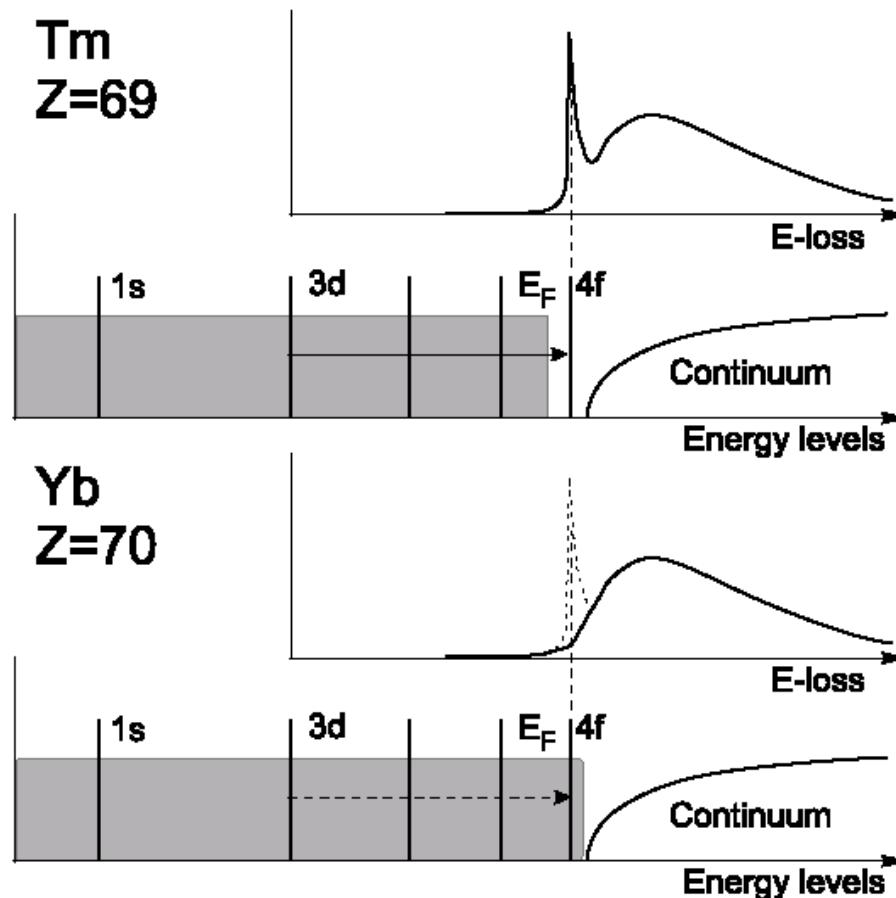


## Ytterbium

- **Ground state electron configuration:**  $[\text{Xe}].4\text{f}^{14}.6\text{s}^2$
- **Shell structure:** 2.8.18.32.8.2
- **Term symbol:**  $^1\text{S}_0$

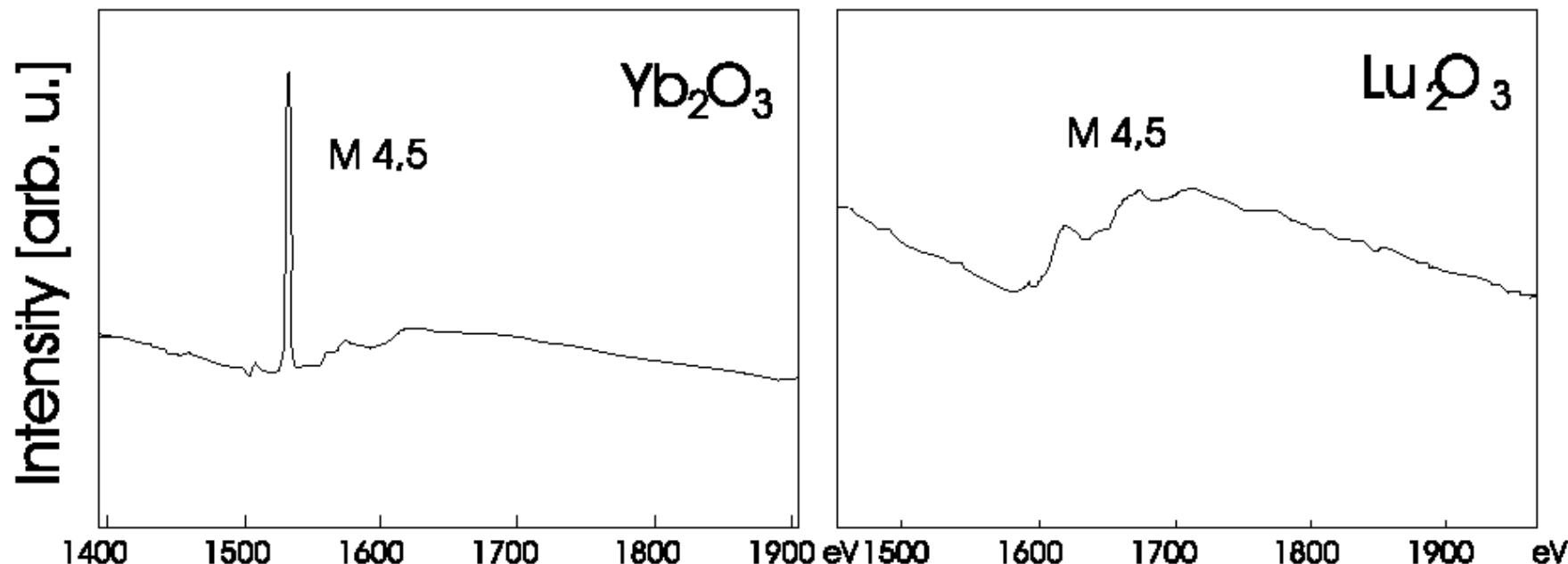


# EPFL ELNES fine structure



For Yb ( $Z=70$ ) and higher atomic number, the f-shell is completely filled, and white lines cannot occur.

# EPFL ELNES fine structure



$M_{45}$  edges of Yb and Lu in their oxides, showing the disappearance of white line when the f-subshell is filled.